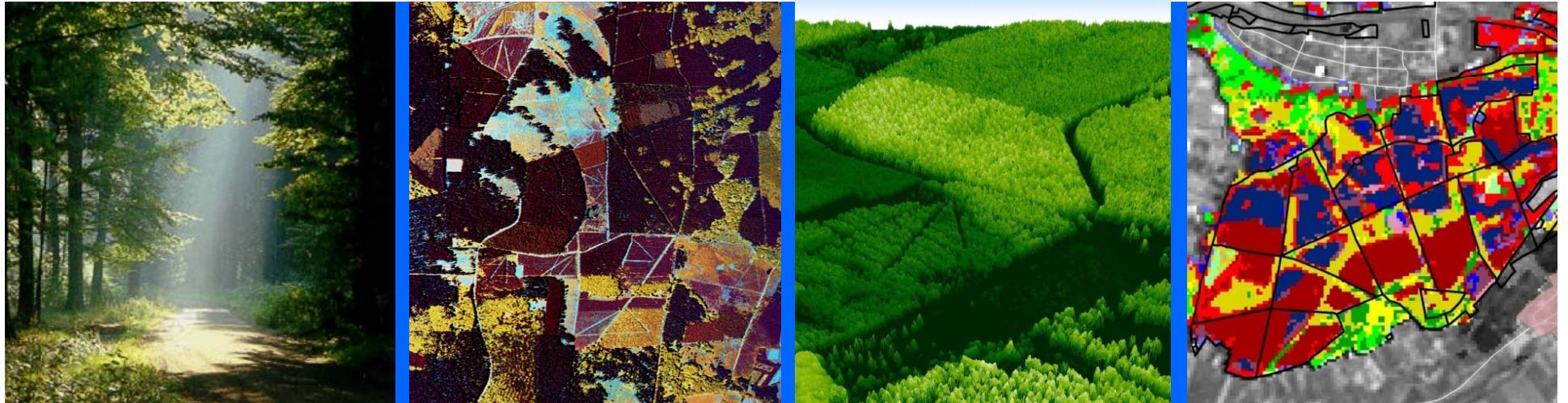


## Remote Sensing Department

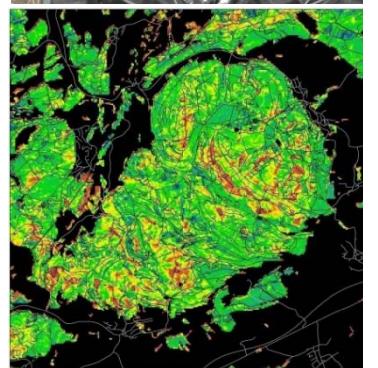
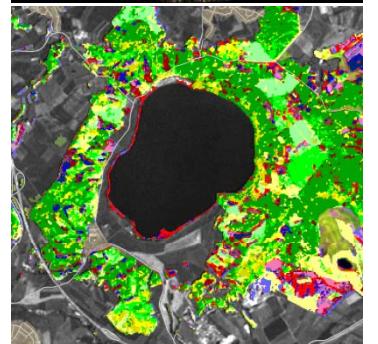


Hyperspectral Forest Remote Sensing:  
**From operational Forest Management  
to Process Dynamics of Forest  
Ecosystems**

Henning Buddenbaum & Joachim Hill

Remote Sensing  
FB VI, Geography/Geosciences  
University of Trier  
D-54286 TRIER, Germany  
[buddenbaum@uni-trier.de](mailto:buddenbaum@uni-trier.de)  
<http://www.feut.de>

# Research focus



## ➤ Forest Ecosystems

- Climate risks: New Species, Water Stress, Changing Site Conditions & Management Strategies
- Ecophysiological parameters (LAI, Pigment, Water and Nitrogen content, ...)
- Estimation of Productivity and Carbon Balance (NPP, fAPAR, ...), Growth Simulators
- Regional Water Balance

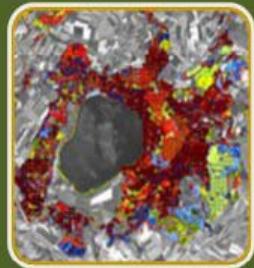
## ➤ Operative Forest management

- Forest Inventory and Mapping (Tree Species, Age classes, Calamities...)
- Combined Procedures for Timber Volume Estimation (regression estimates, k-NN...)
- GIS-based Habitat Analysis



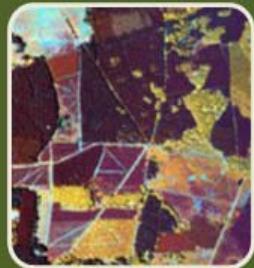
## Global Level

- Global Forest Monitoring
- Global biogeochemical cycles
- Tropical Forest Monitoring
- Forest Fire Monitoring



## Regional Level

- National Forest Inventories
- Forest damage mapping
- Timber mobilisation



## Stand Level

- Structural stand parameters
- Biochemical stand parameters
- Forest damage mapping
- Timber mobilisation

Suitability of Remote Sensing Methods for National Forest Inventories was shown in several studies.

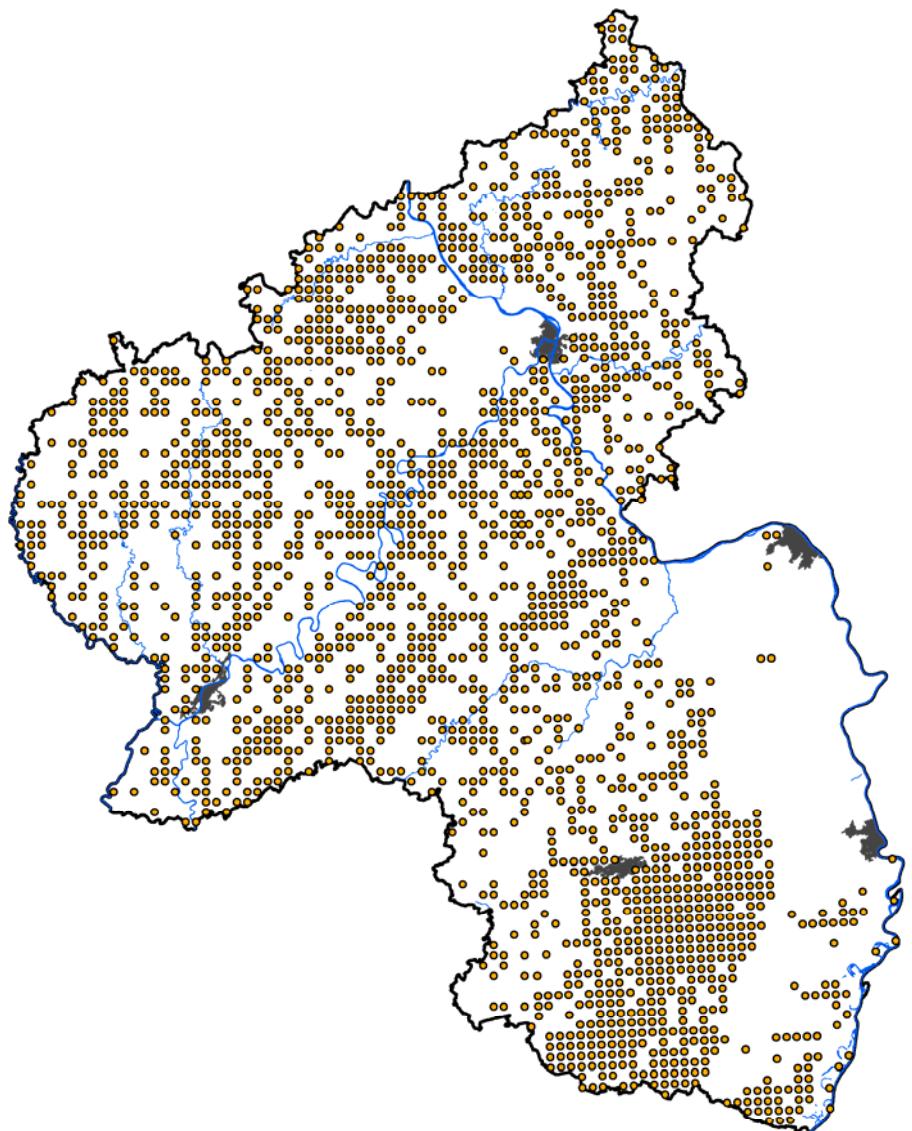
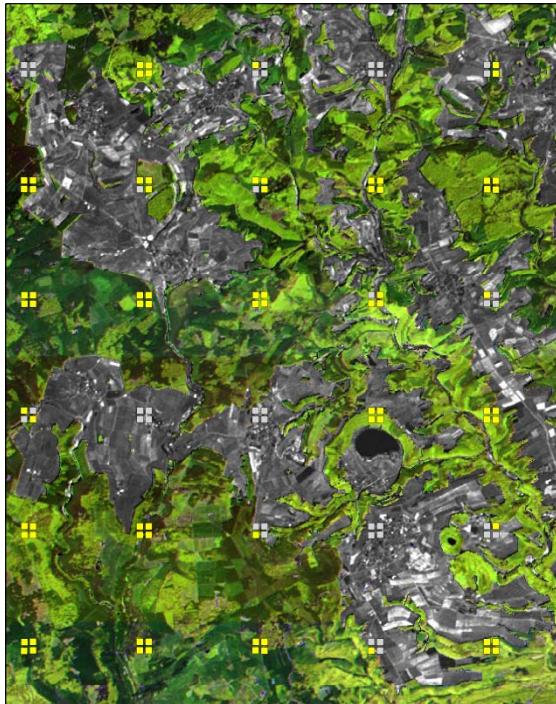
But only the Scandinavian countries use satellite imagery as a standard tool in their national Forest Inventories.

In Germany most studies only cover single forest administrations.

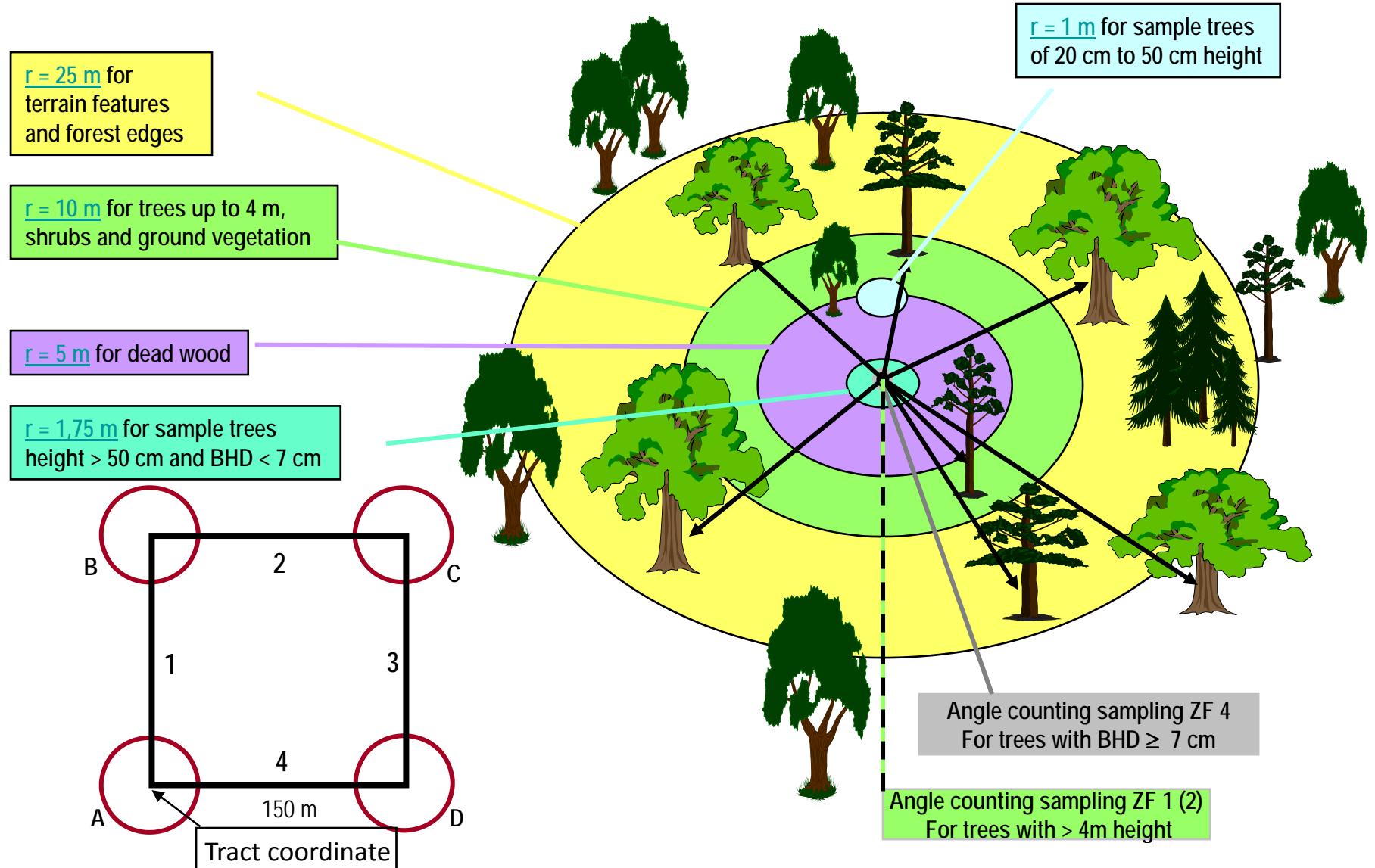
# Forest Inventory / Classification

The state forest Inventory only samples in 2x2 and 4x4 km Rasters

("Bundeswaldinventur" and  
"Landeswaldinventur")

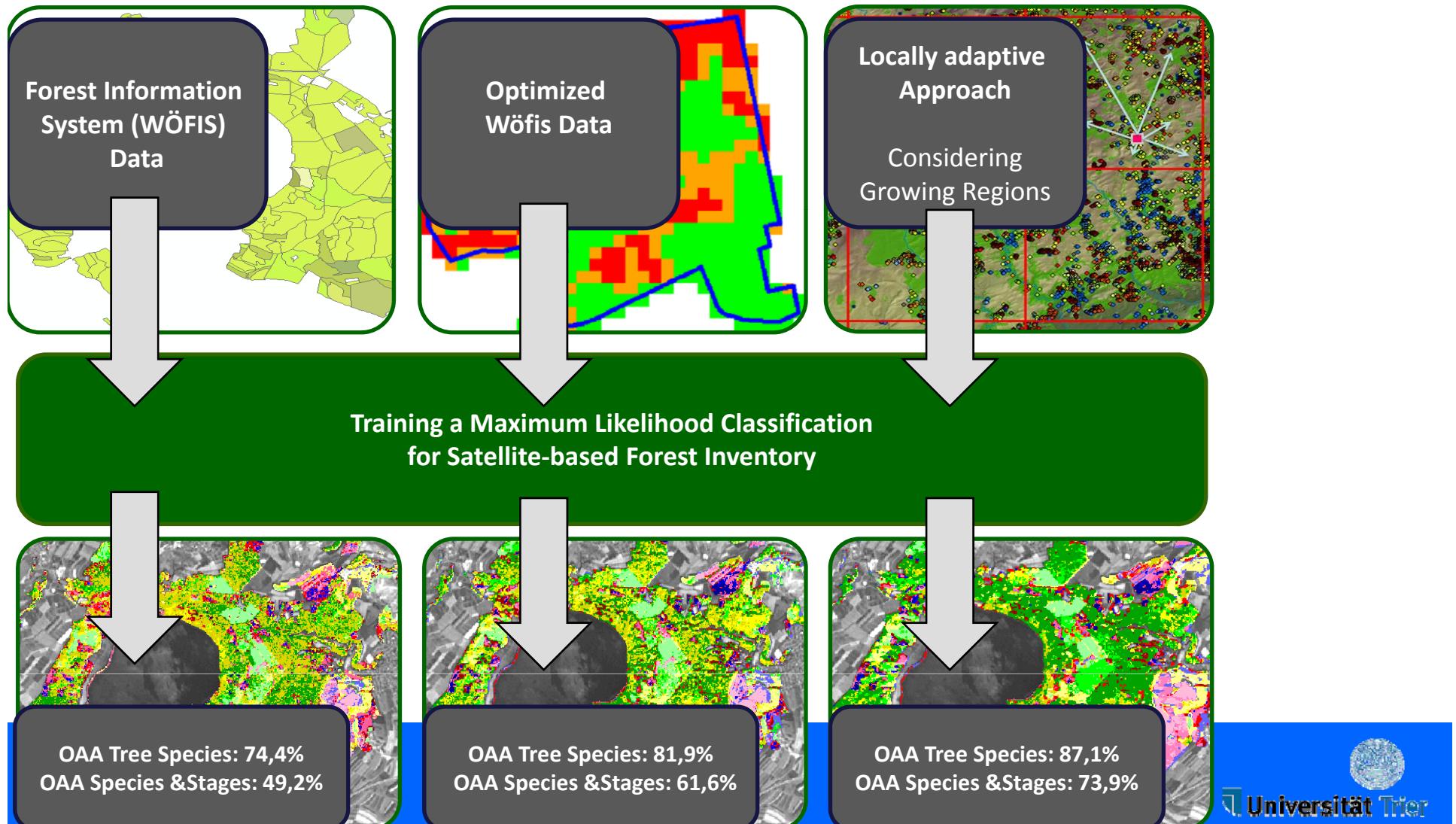


# Forest Inventory / Classification



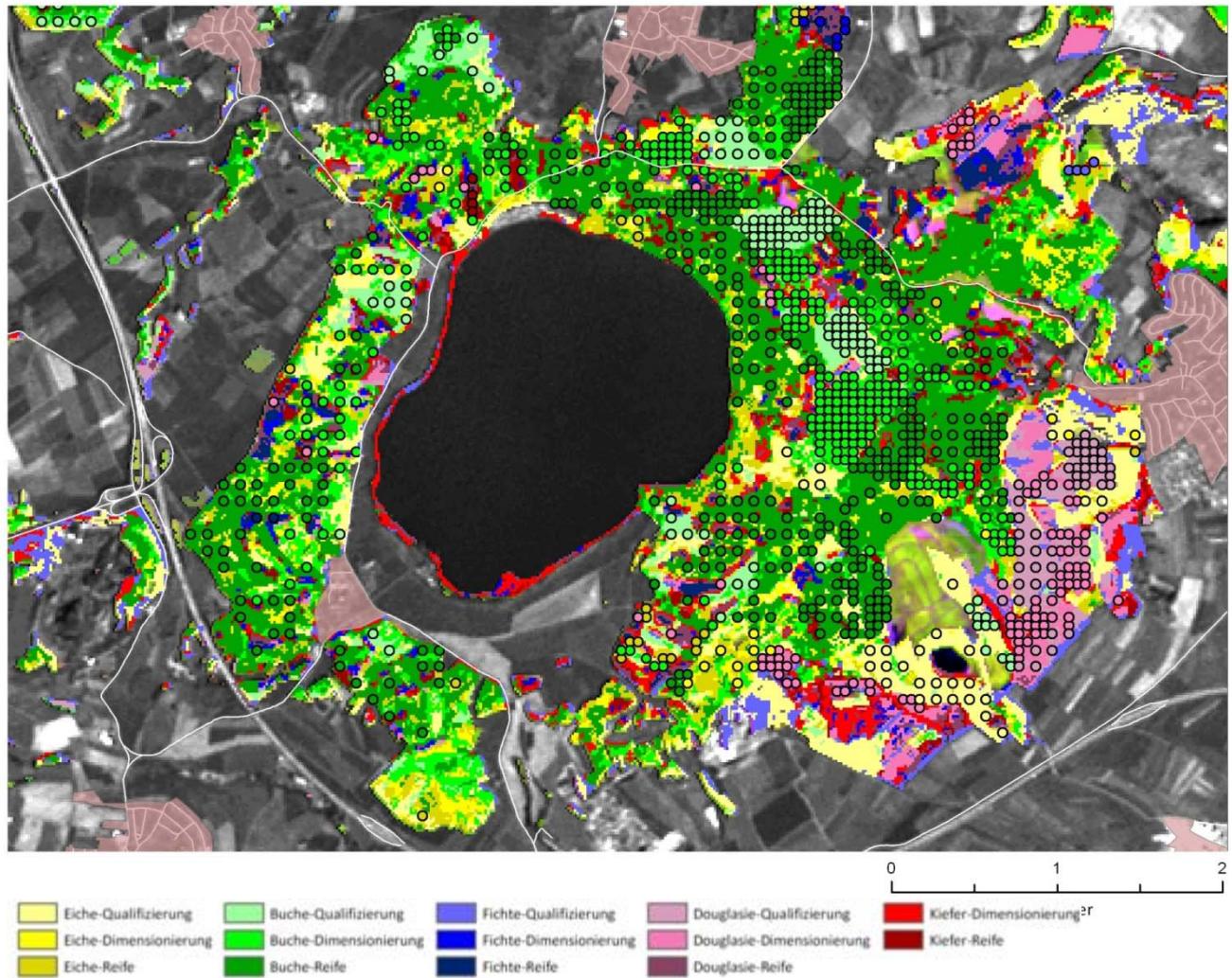
# Forest Inventory / Classification

A forest inventory at regional scale was conducted using ASTER and SPOT data. The accuracy at first was not satisfactory, but could be improved by optimizing the calibration data and by applying a locally adaptive training stage.



# Forest Inventory / Classification

Accuracy Assessment at regularly spaced points



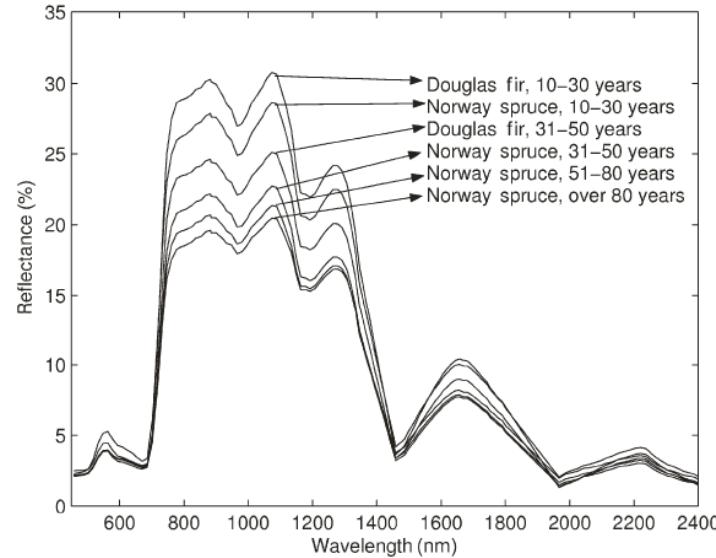
Datengrundlage:

Klassifikationsergebnis der satellitengestützten Waldinventur in der Osteifel. Im Hintergrund:  
ASTER-Satellitenbilddaten vom 26.06.2001 und 02.04.2005,  
Waldökologisches Forstinformationssystem (Landesforsten RLP), ATKIS-Walddaten (LVerMGeo RLP)

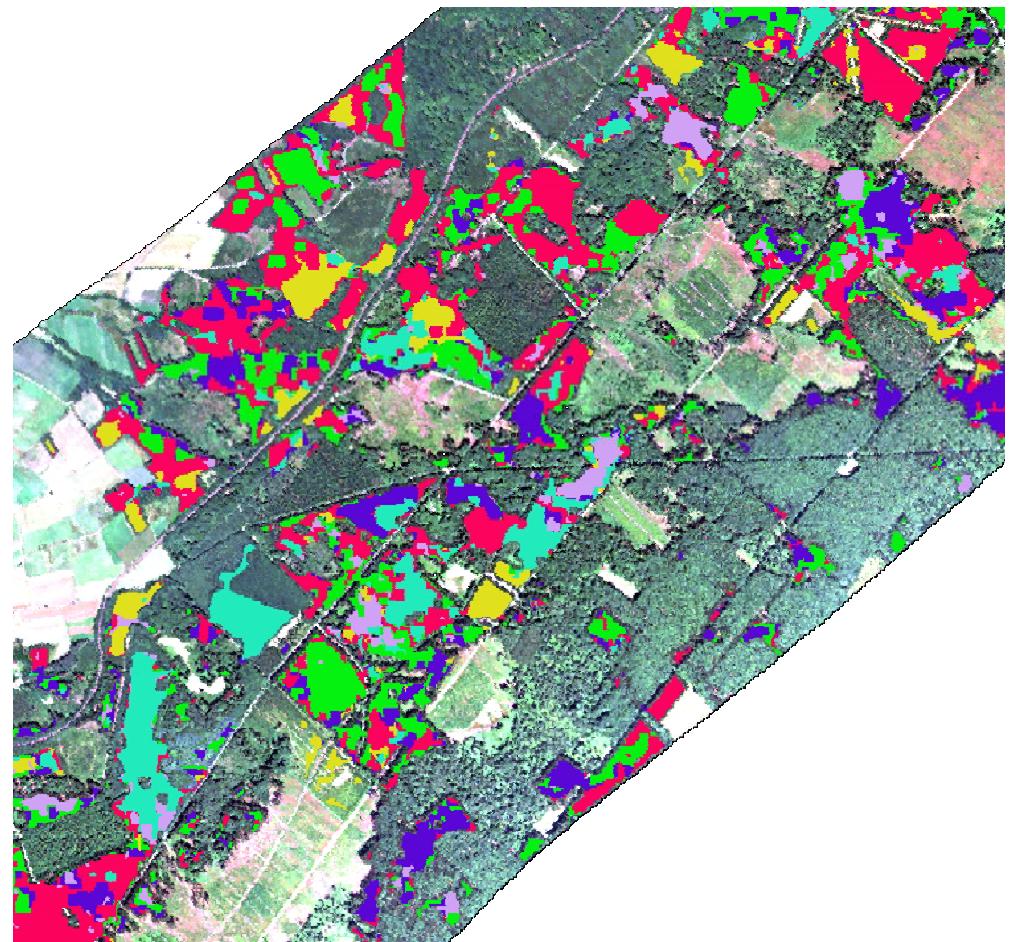
PhD Thesis J. Stoffels 2009

## Improved Classification by

- Good Geometric and Radiometric correction
- Multi-phenological input data
- Optimised reference data
- Locally adaptive Classification
- Hyperspectral imagery
- Classification algorithms like SVM
- Object-based
- Texture
- Subpixel Classification / Spectral Unmixing
- Complementary Data like Lidar, Radar

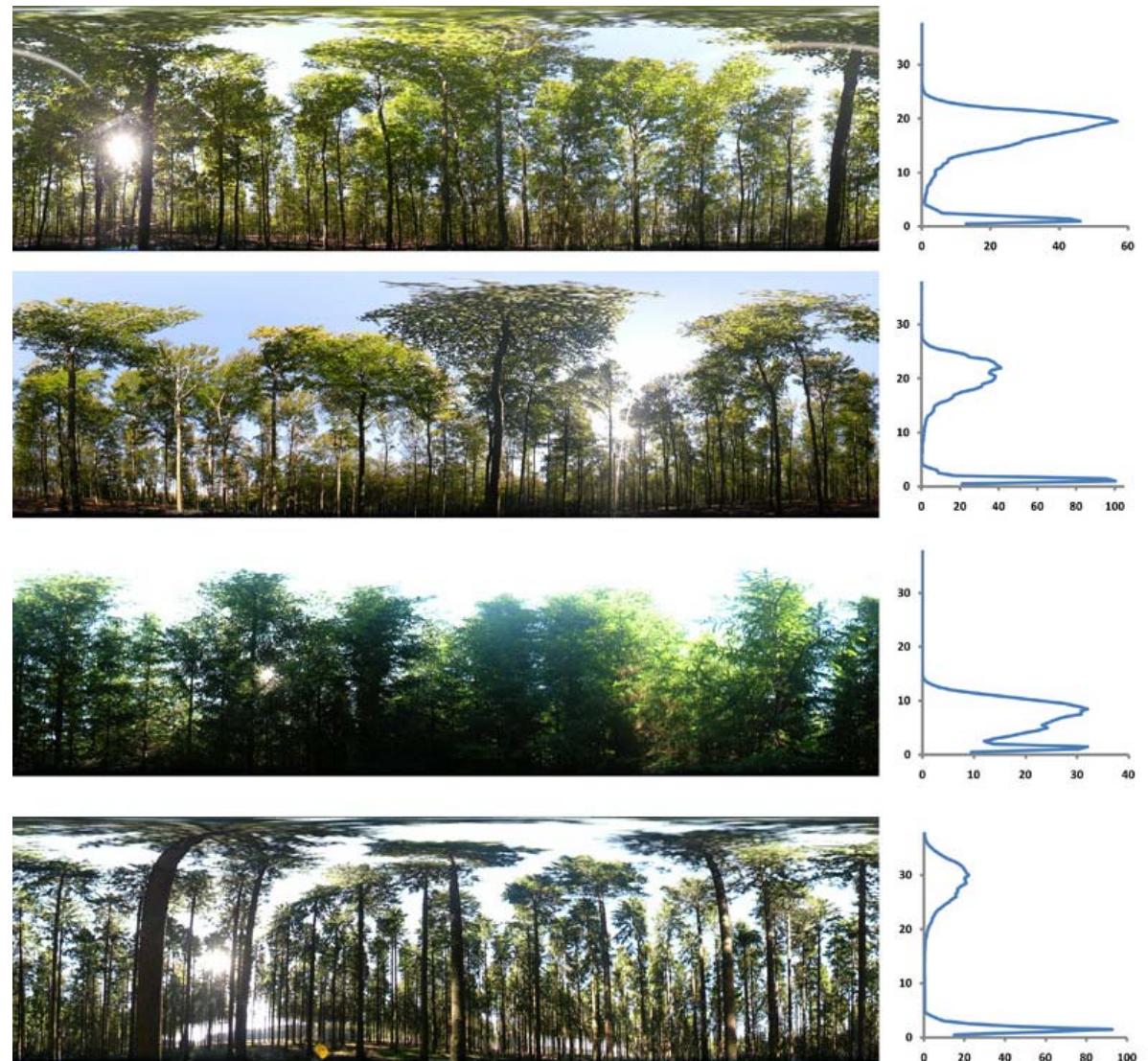
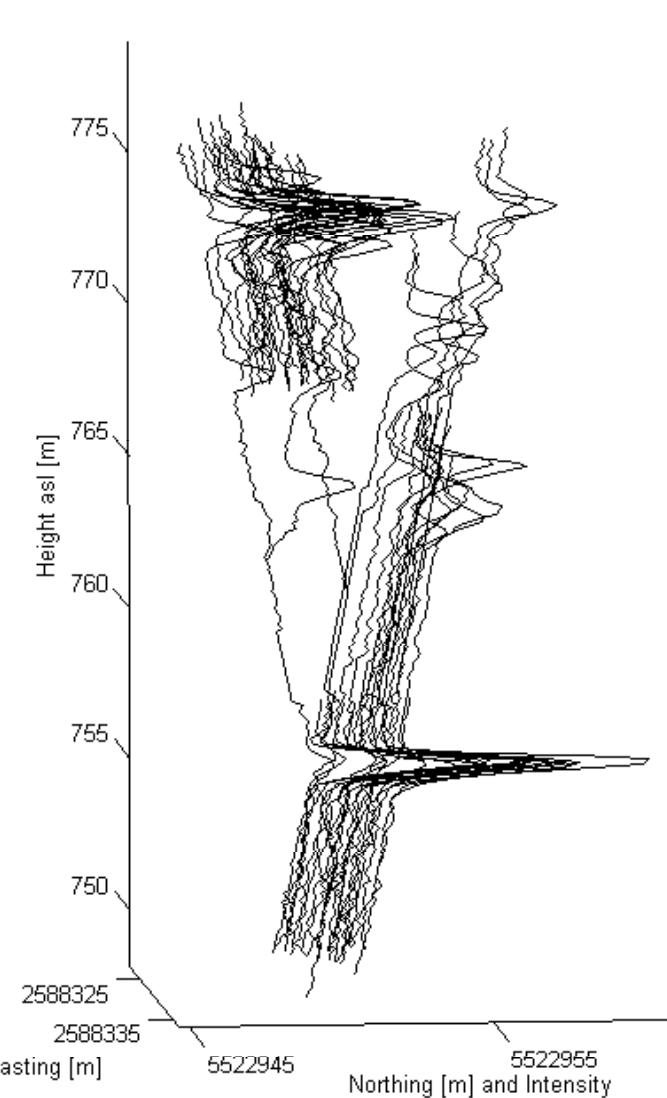


Classification using hyperspectral & texture data

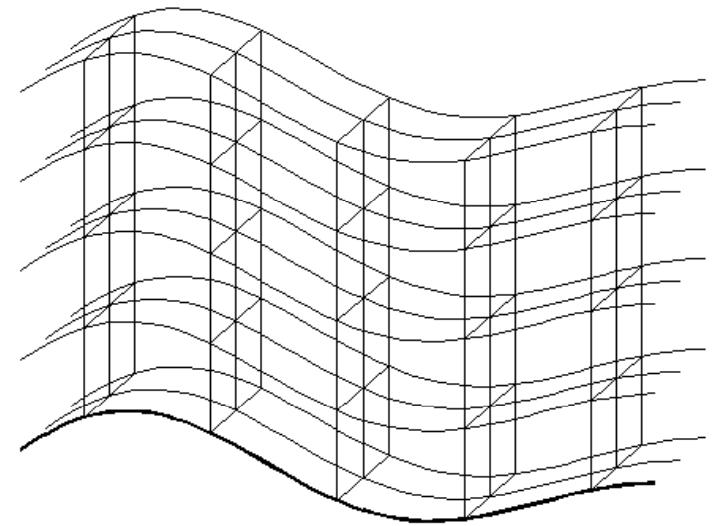
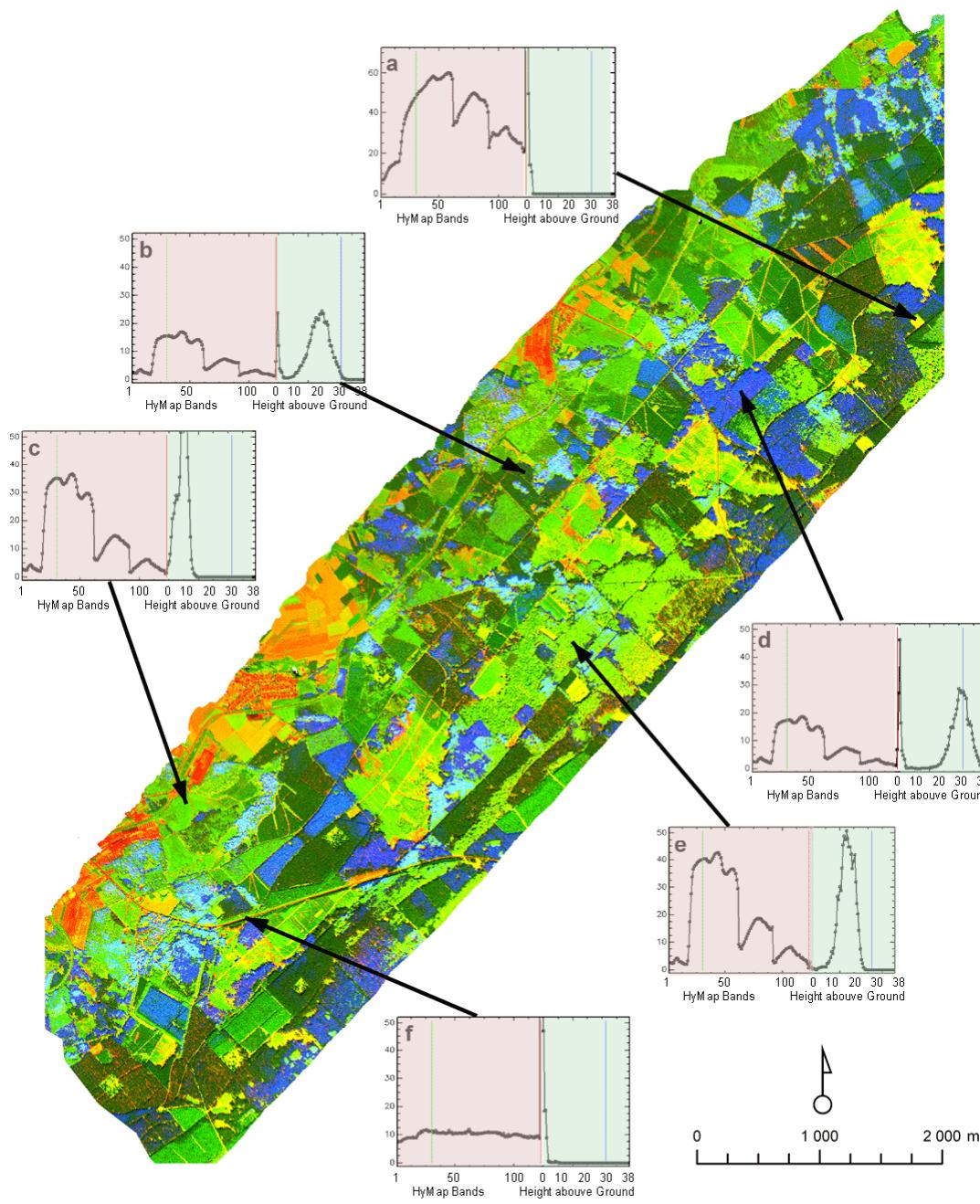


Buddenbaum, Schlerf & Hill: IJRS 2005

# Fusioning Hyperspectral and Laserscanning data



# Fusioning Hyperspectral and Laserscanning data



The waveform data was arranged into 5m x 5m x 50cm voxels containing the mean intensity

Buddenbaum & Seeling (2008)

Charakterisierung von Forstbeständen mit Hilfe von Laserscanning und Reflexionsmodellierung,  
Diss. Uni Trier, Buddenbaum (2009)

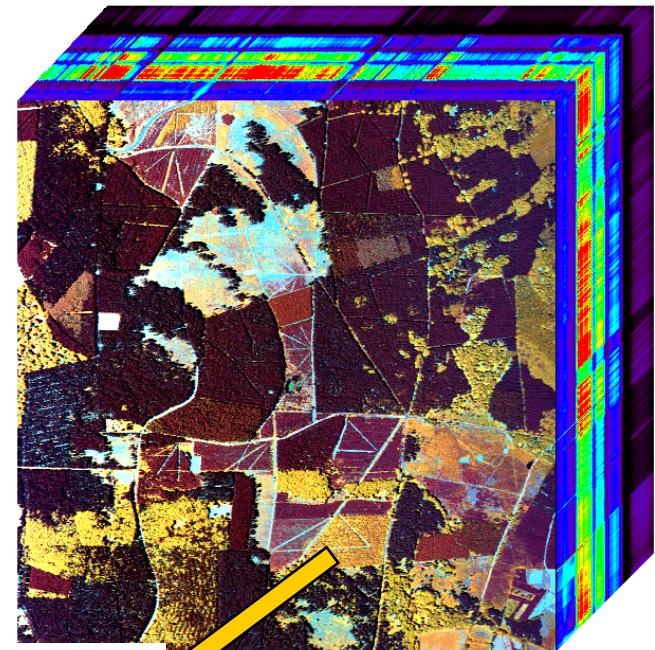
# Quantitative Measurements

Spatially differentiated, quantitative  
Determination of

- Leaf and Needle Losses, Chlorosis, Crown cover, Age structure of Forest Stands
- Biophysical properties of Forest Stands (LAI, Cab, Cw)

Empirical-statistical  $\leftrightarrow$  Model-based Approaches

HyMap Data Cube FA Morbach



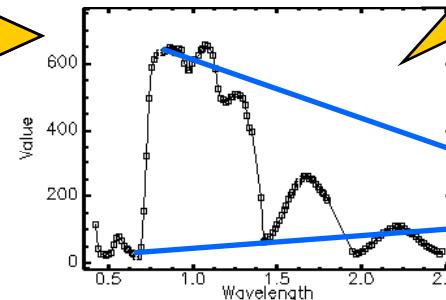
Reflection model

$$\rho_{\text{mod}(l)} = f(\theta, \text{LAI}, C_{ab}, C_w, \dots)$$

LAI, C<sub>ab</sub>,  
C<sub>w</sub>, ...

Model  
Inversion

$$F = \sum [ \rho_{\text{mod}(l)} - \rho_{\text{meas}(l)} ]^2 \Rightarrow \min$$

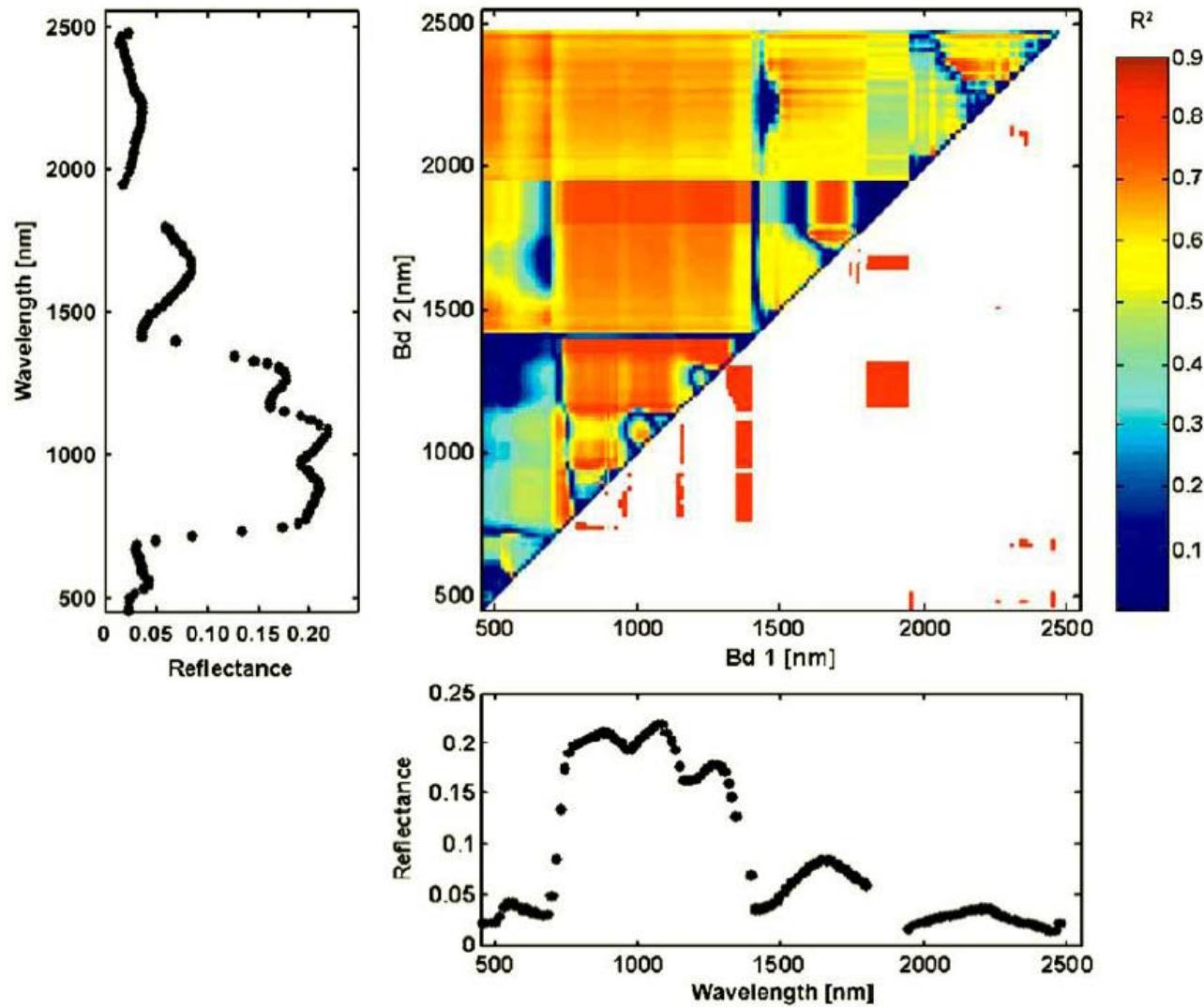


$$NDVI = \frac{\rho_{nIR} - \rho_{red}}{\rho_{nIR} + \rho_{red}}$$

# Empirical-statistical approaches: Indices

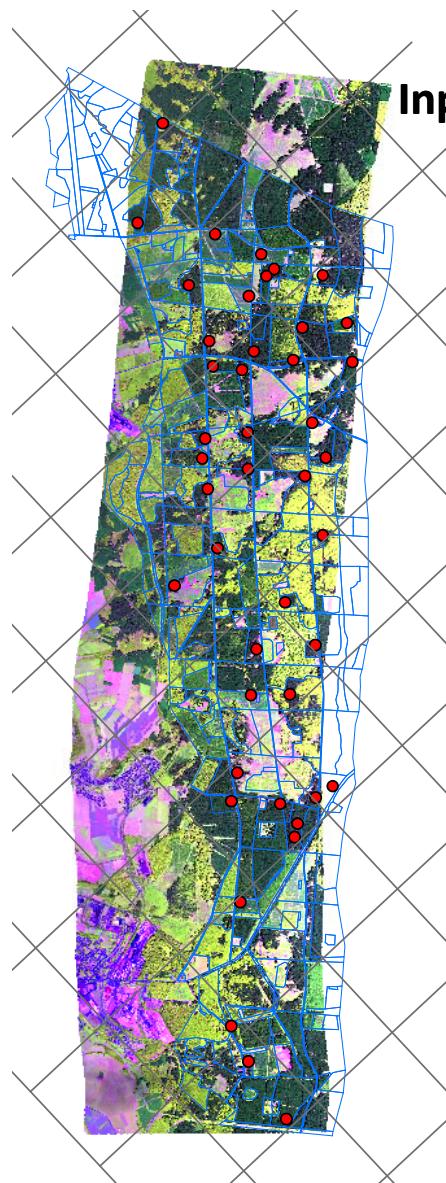
With hyperspectral data, one way to estimate quantitative canopy variables is using narrow-band indices.

With 126 HyMap bands there are 15625 possible combination for 2 band indices.

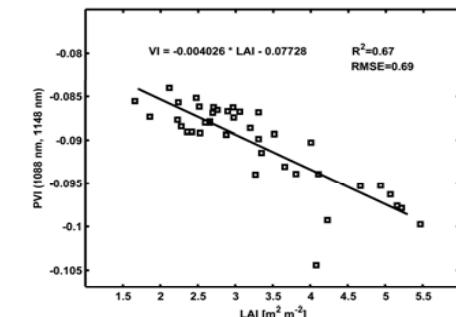
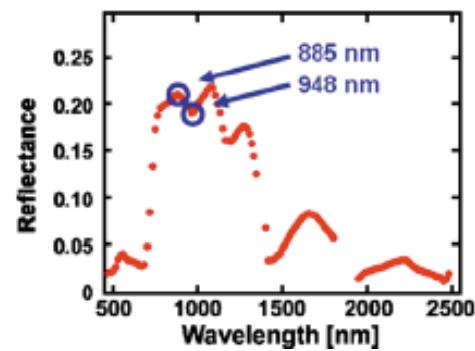


Schlerf, Atzberger & Hill: RSE 2005

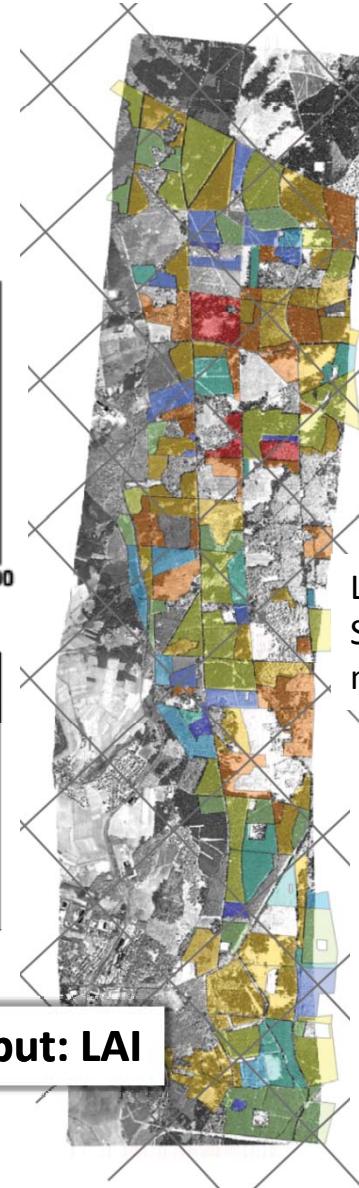
# Empirical-statistical quantitative approaches: LAI



Input: HyMap



Output: LAI



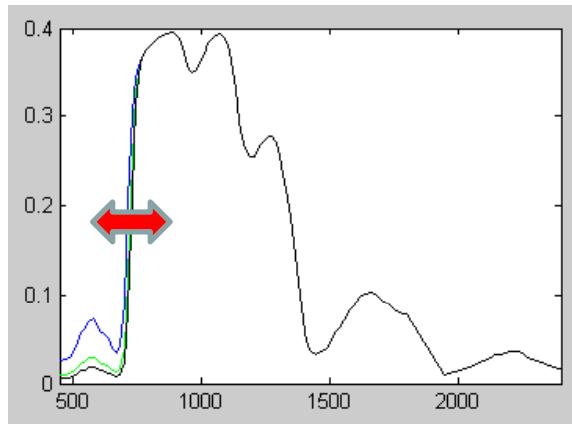
Empirical modelling of LAI in spruce forests (Idarwald Forst, Germany)

PhD Thesis M. Schlerf 2005

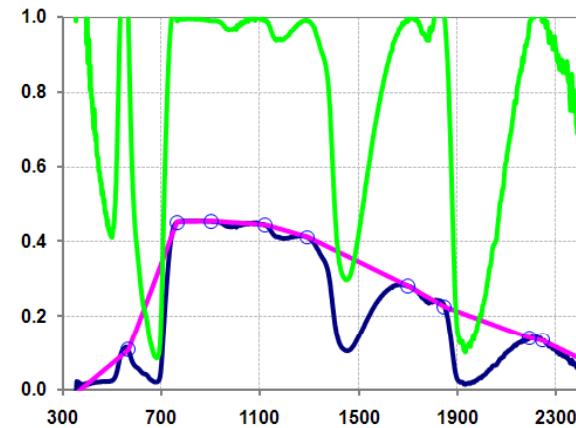
# Empirical-statistical approaches

Many more things can be done with hyperspectral data...

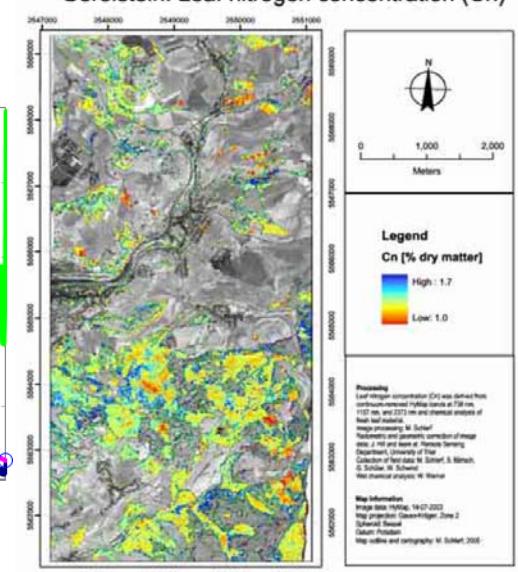
Red Edge Inflection Point



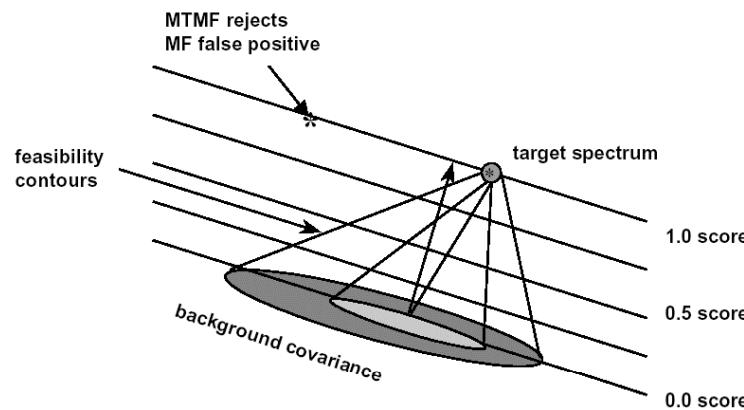
Convex Hull / Continuum Removal



Gerolstein: Leaf nitrogen concentration (Cn)



Mixture-tuned matched filtering

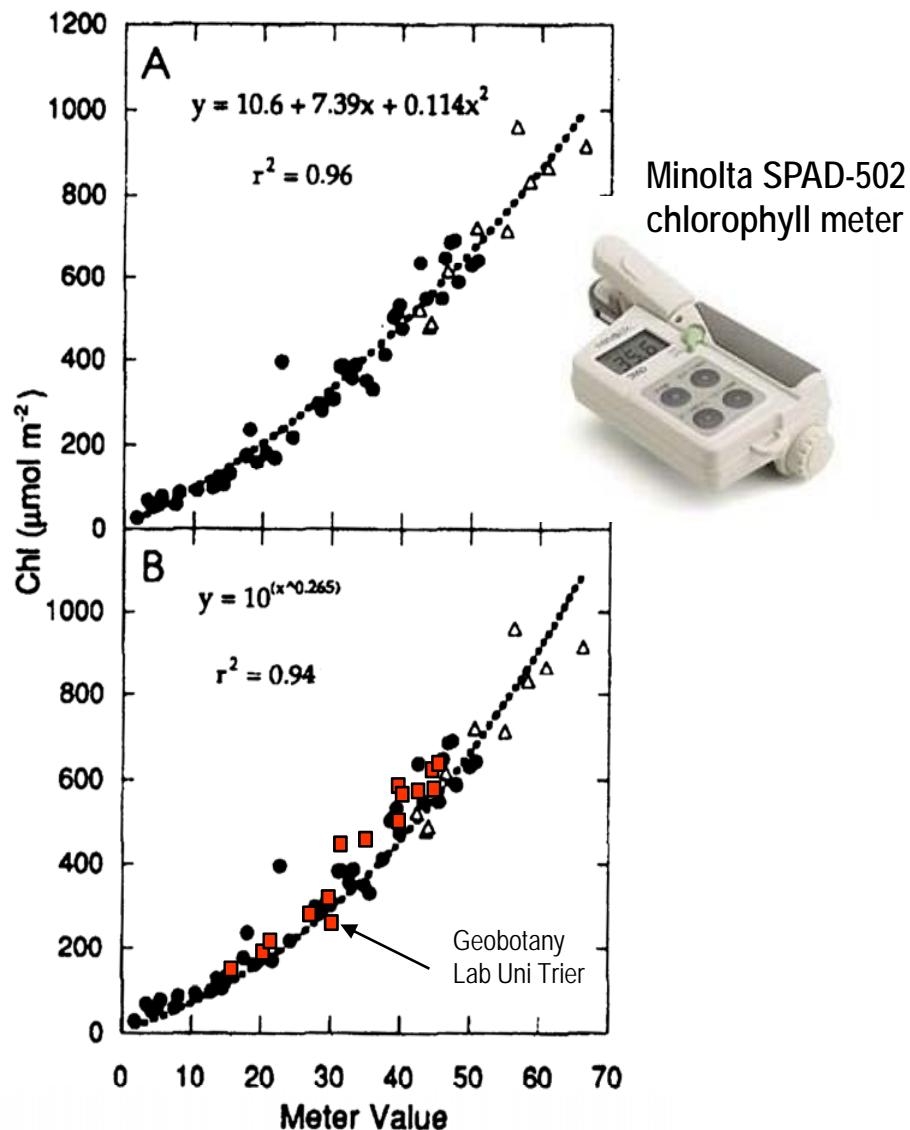


MNF transformation

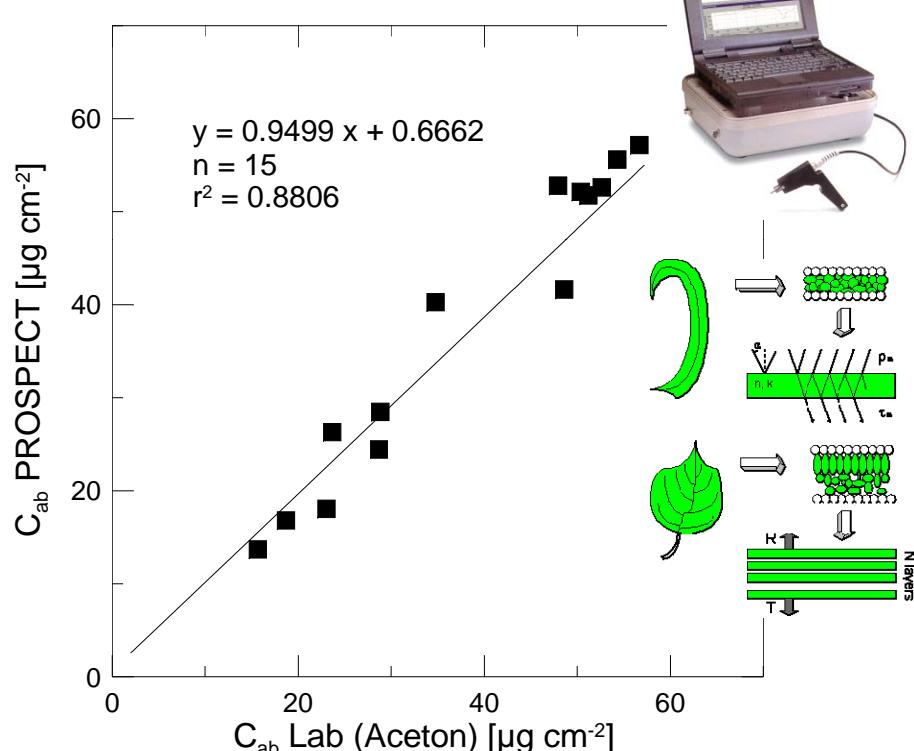


Schlerf, Atzberger, Hill, Buddenbaum, Werner & Schüler (2009)

# Model-based approaches: Chlorophyll



## Chlorophyll concentration Beech & Oak

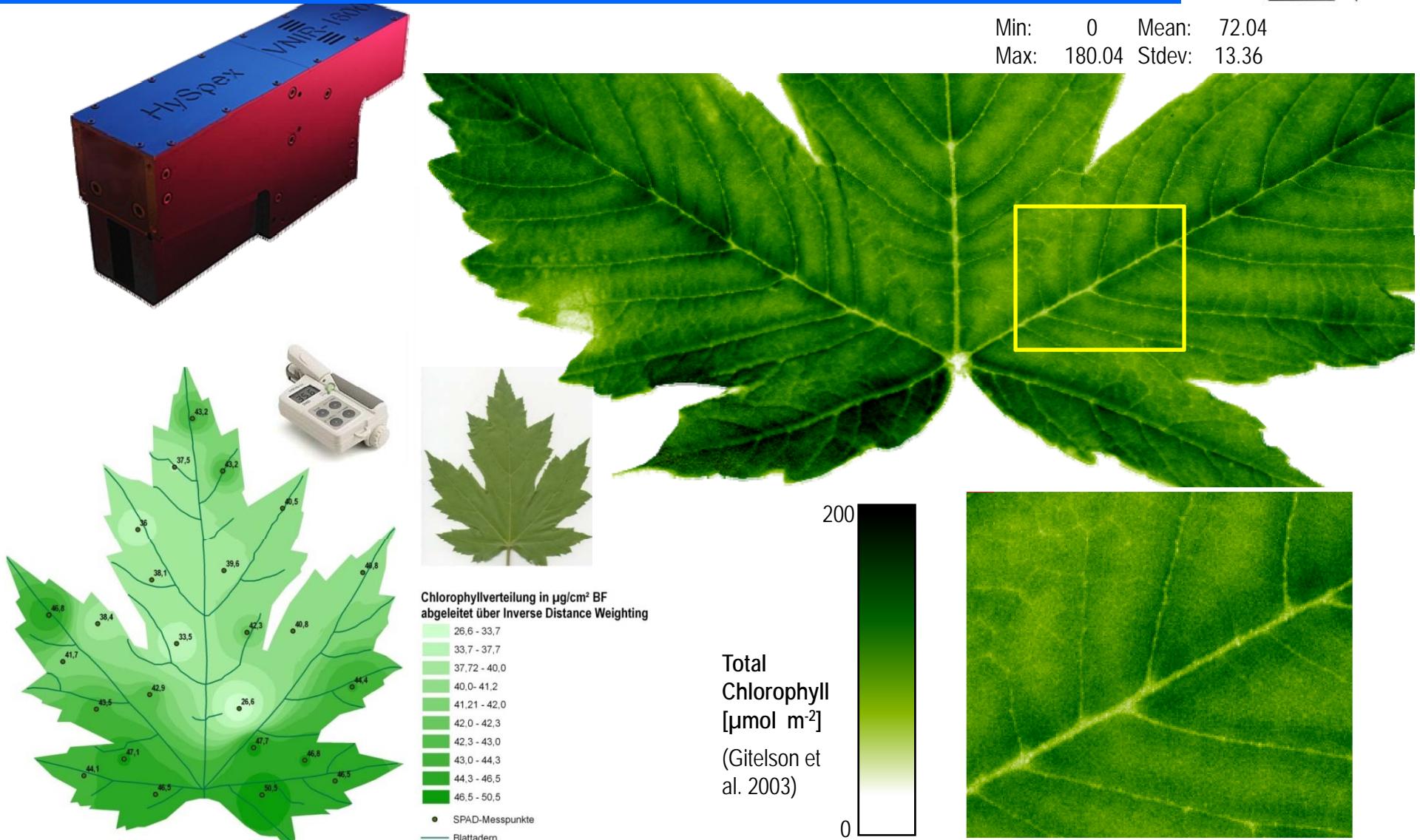


Markwell et al. (1995)

EnMap Workshop Potsdam 2009

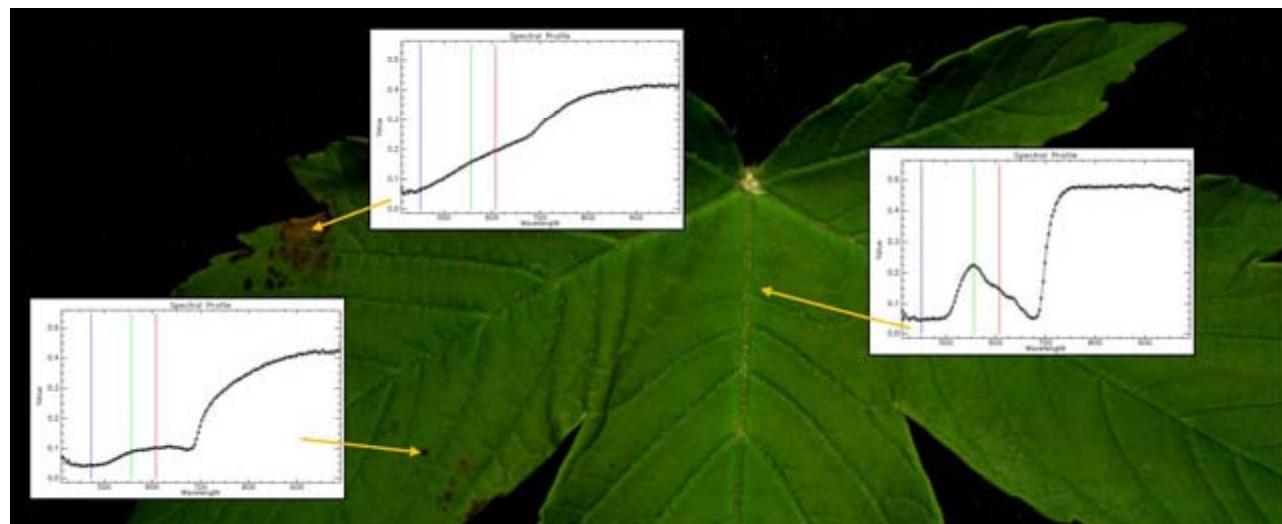
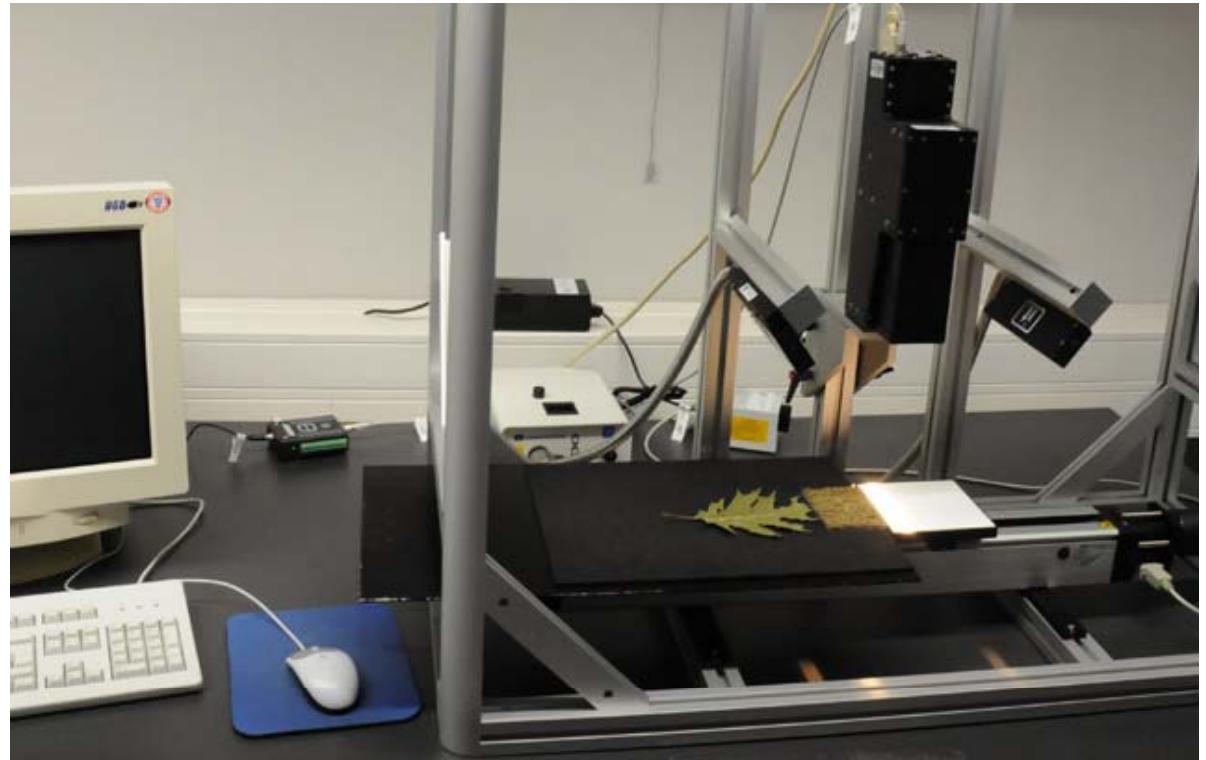
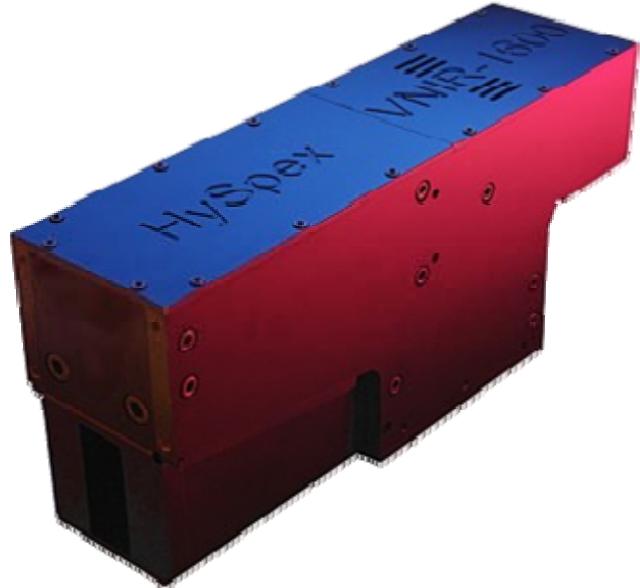
07/11/2009 - 15

# Chlorophyll measurements



Gitelson, A.A., Gritz, Y., & Merzlyak, M.N. (2003). Relationships between leaf chlorophyll content and spectral reflectance and algorithms for non-destructive chlorophyll assessment in higher plant leaves. *Journal of Plant Physiology*, 160, 271-282.

# Hyperspectral Laboratory Imaging

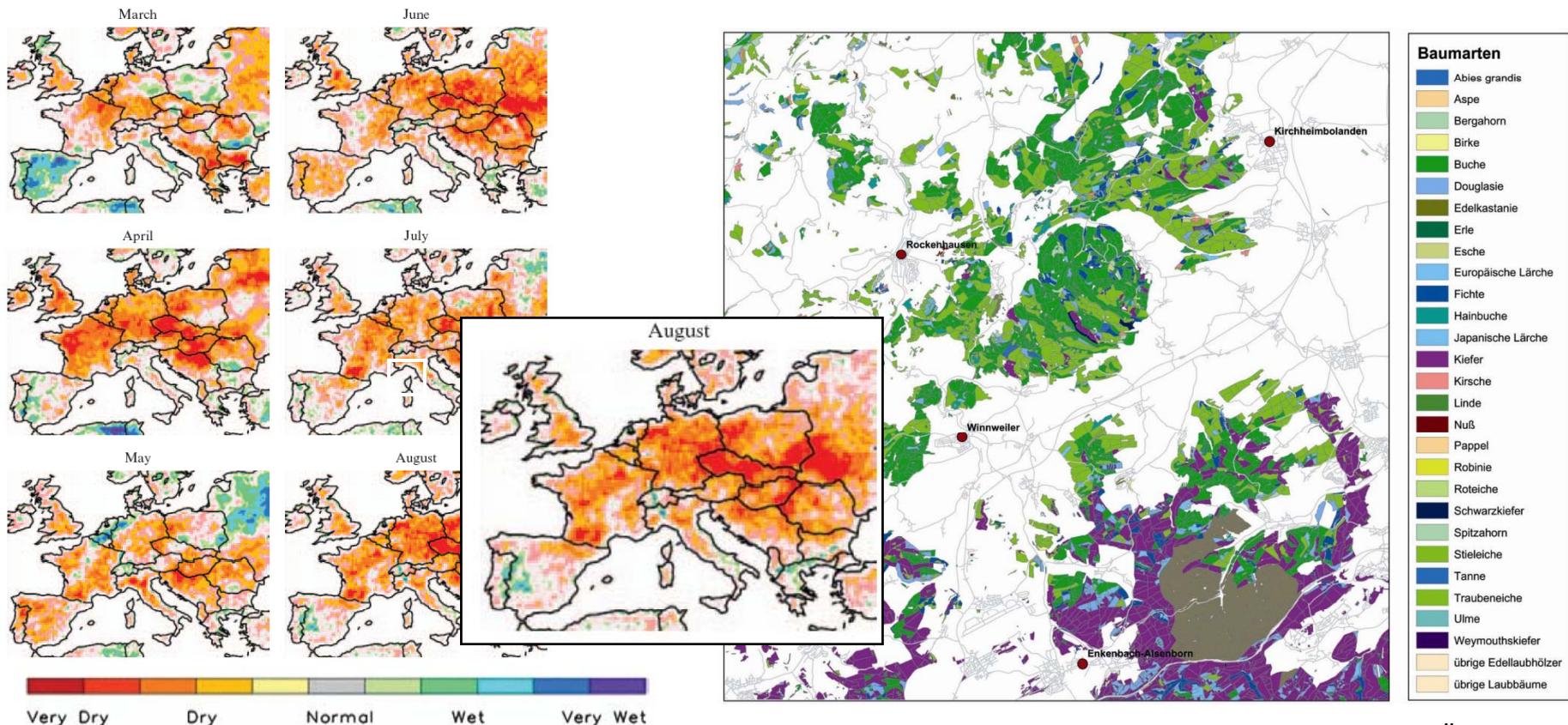


**HySpex** (Norsk Elektro Optikk AS)  
Hyperspectral Line Scanner  
1600 columns x 160 bands  
400 – 1000 nm  
@ 3.7 nm spectral sampling

# Model-based approaches: Leaf scale to Canopy Level

Beniston, M. (2004): The 2003 heat wave in Europe: A shape of things to come? An analysis based on Swiss climatological data and model simulations, Geophysical Research Letter, 31.

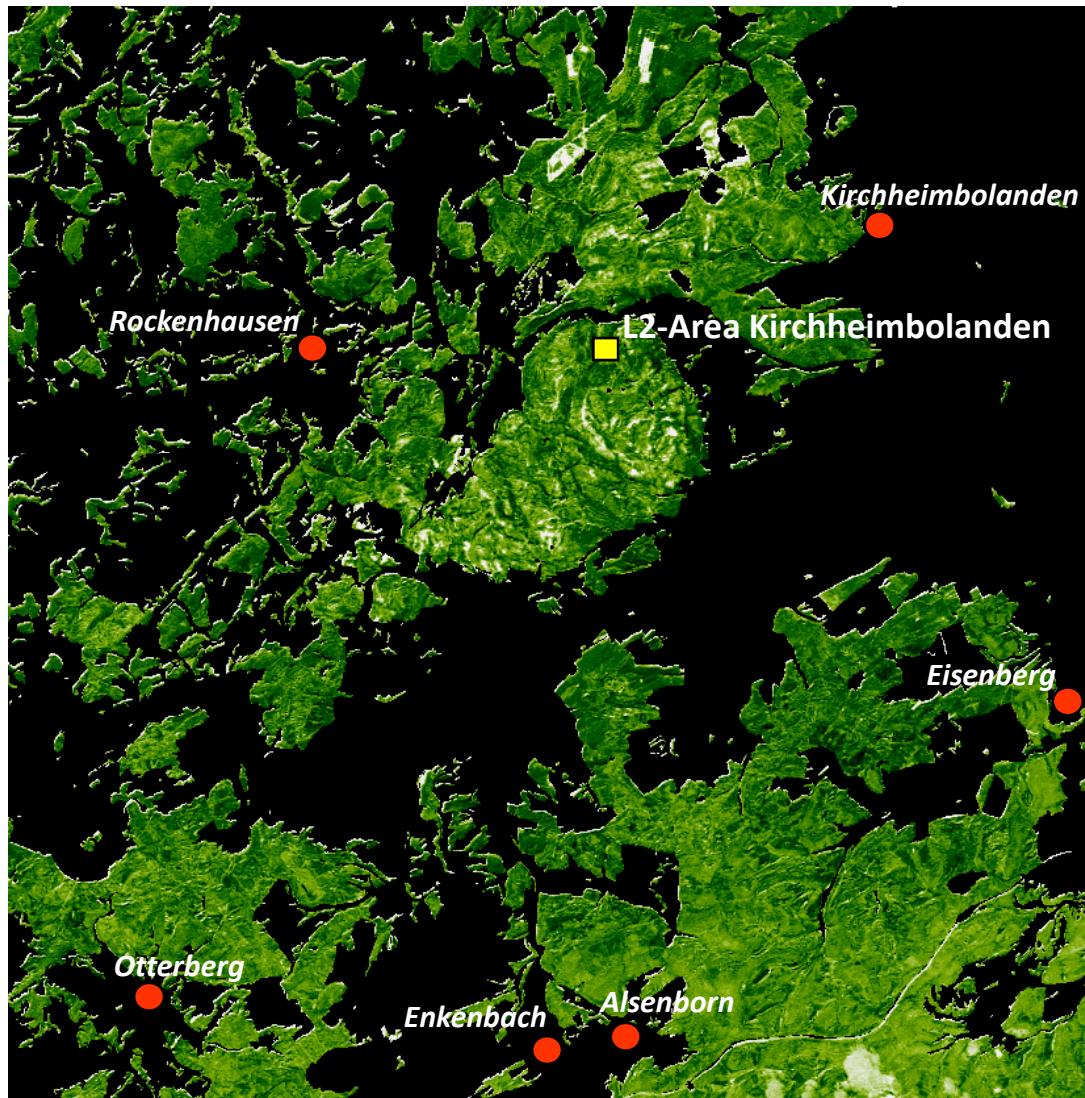
## Background: Donnersberg 2003: Impact of Drought Stress



Source: ATKIS, WÖFIS RLP

Experimental Wetness Indicator derived from the SSM/I sensor (see <http://lwf.ncdc.noaa.gov/>), showing monthly anomalies for 2003 with respect to the base period 1988–2002 [from Gobron et al., 2005].

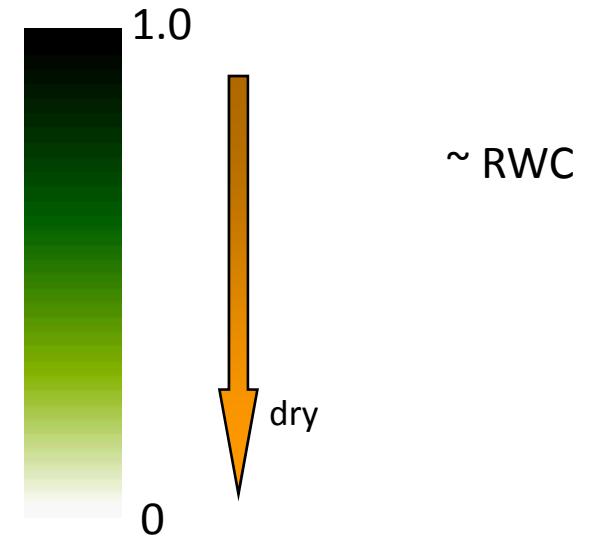
# Canopy Water Content in Drought Year 2003



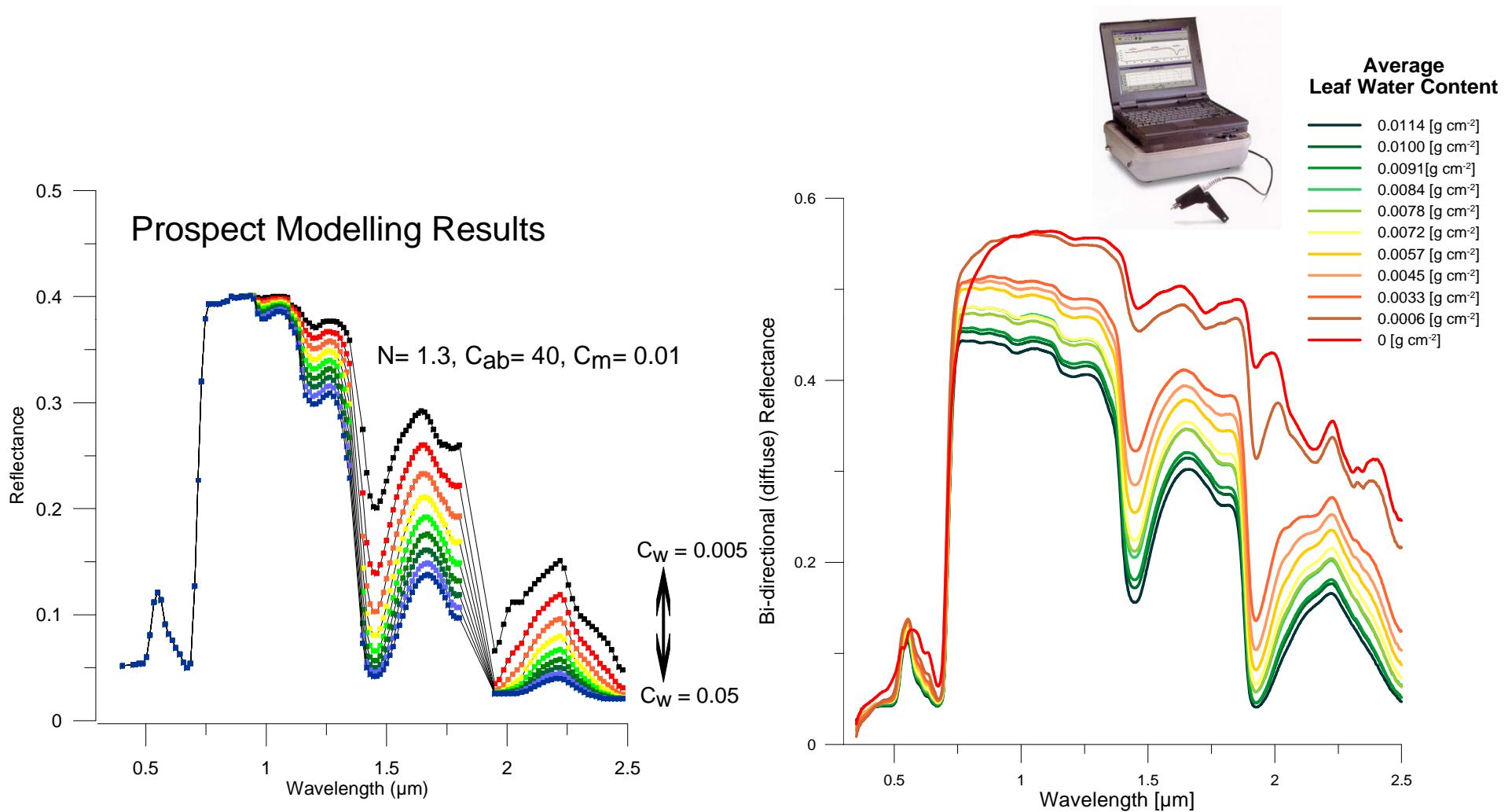
## Leaf Water Content Index (LWCI) from Landsat TM

$$LWCI = \frac{-\log[1 - (TM 4 - TM 5)]}{-\log[1 - (TM 4_{FT} - TM 5_{FT})]}$$

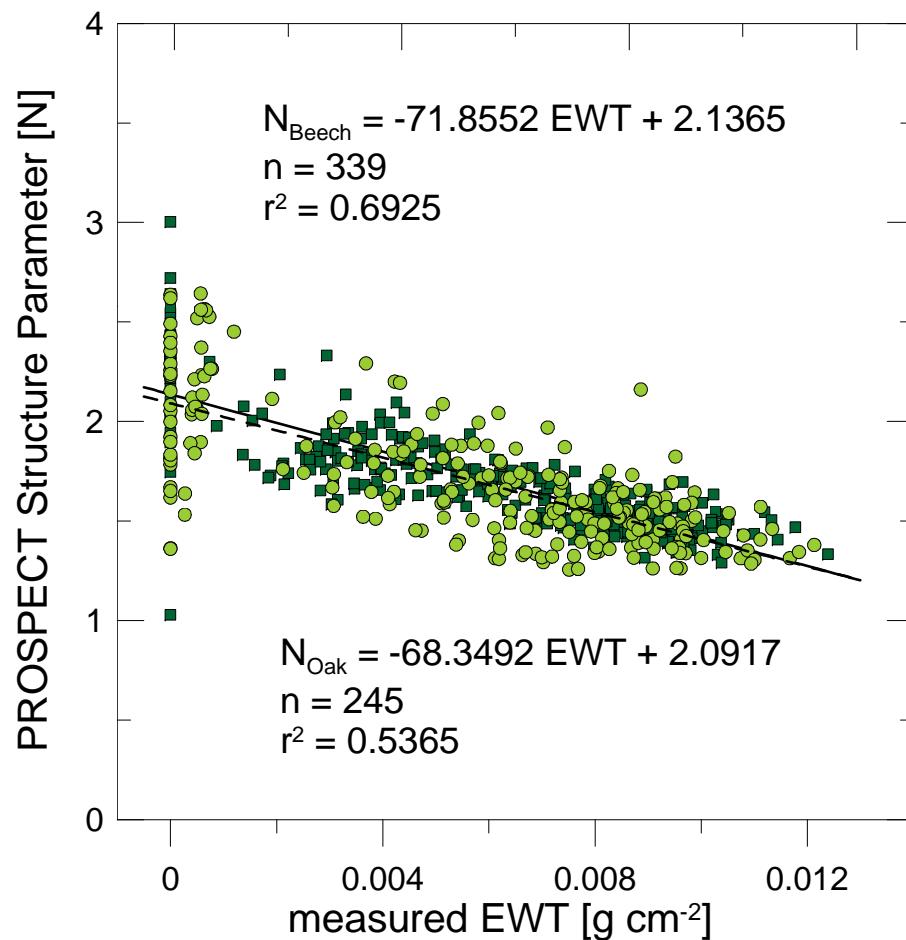
(Hunt et al., 1987)



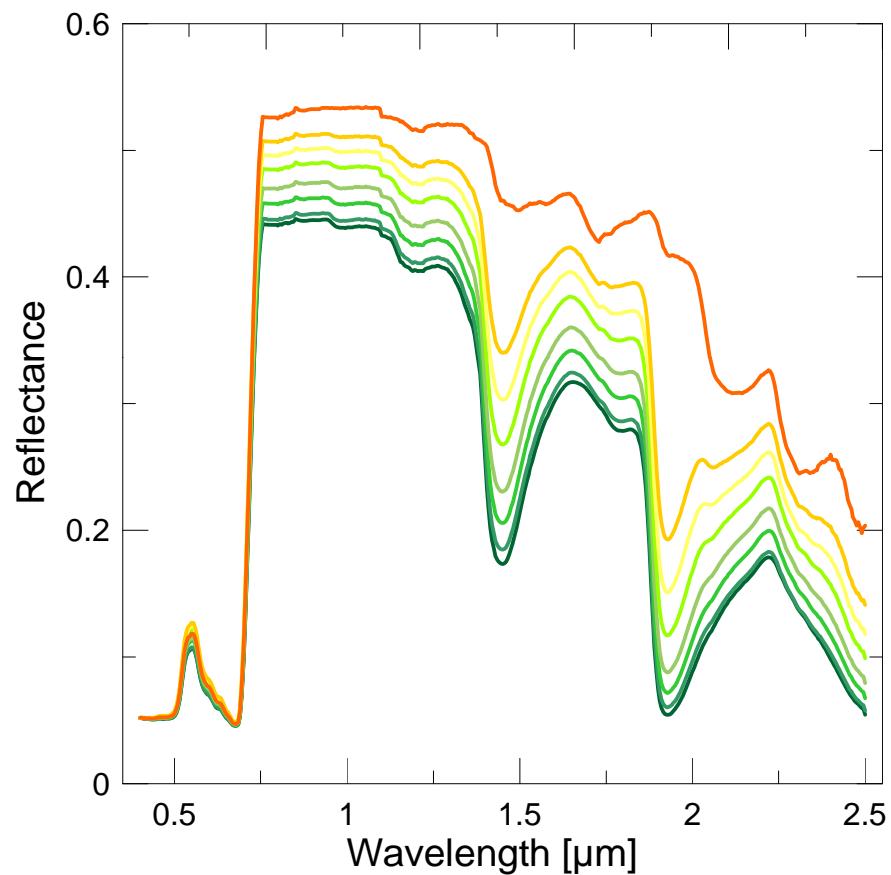
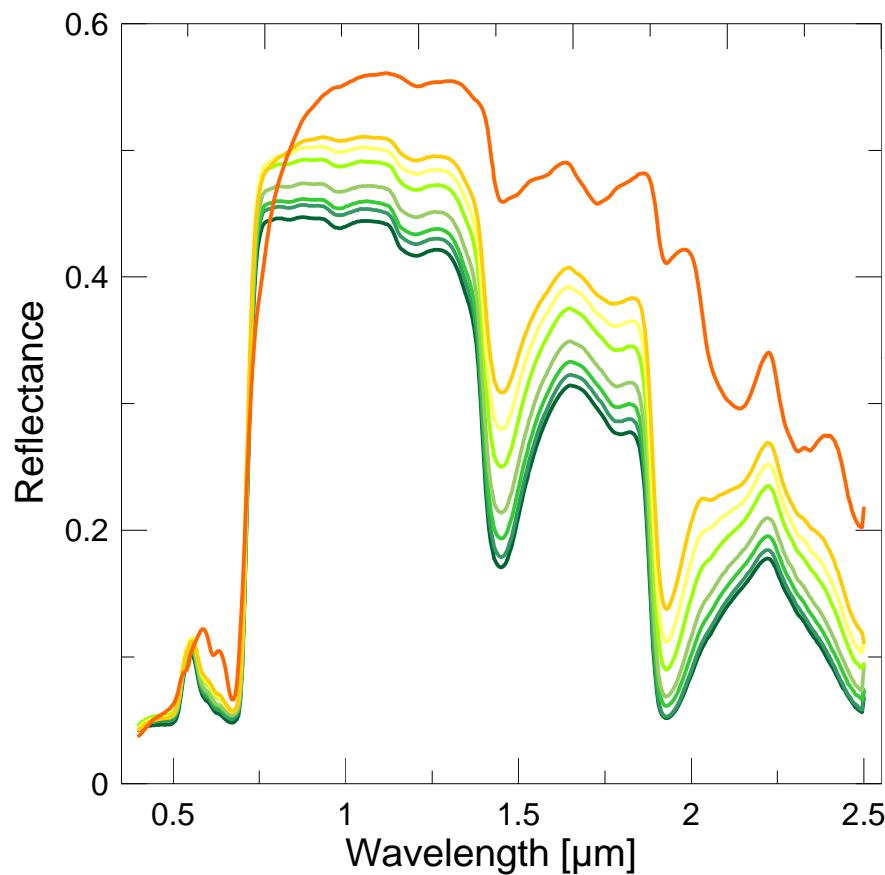
# Leaf Water Content: PROSPECT vs. Measurements



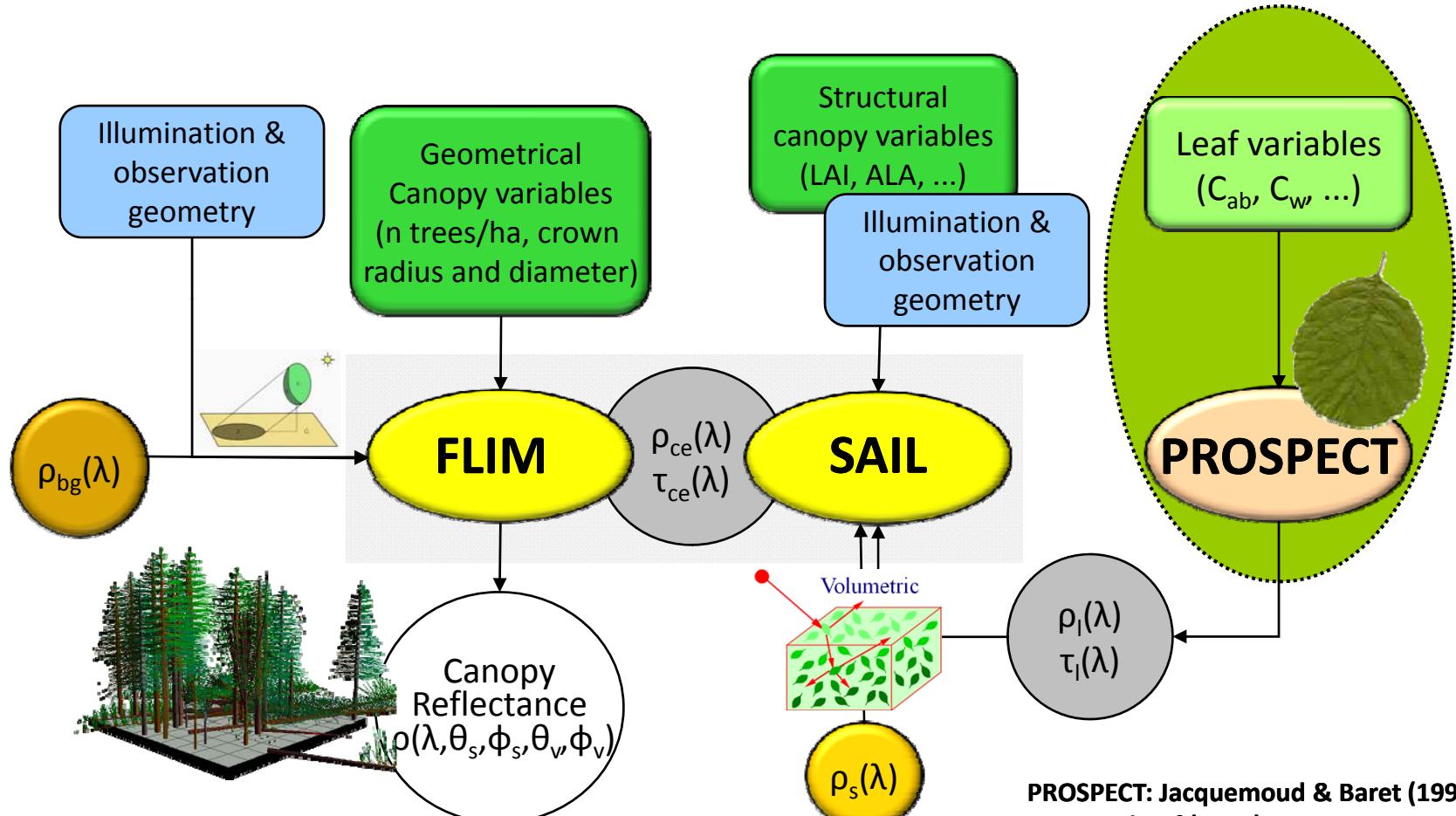
## Functional Dependency of PROSPECT Structure Parameter N on the Equivalent Water Thickness (EWT) for Beech and Oak



## Fagus sylvatica: Measurements vs. Recalibrated Modeling



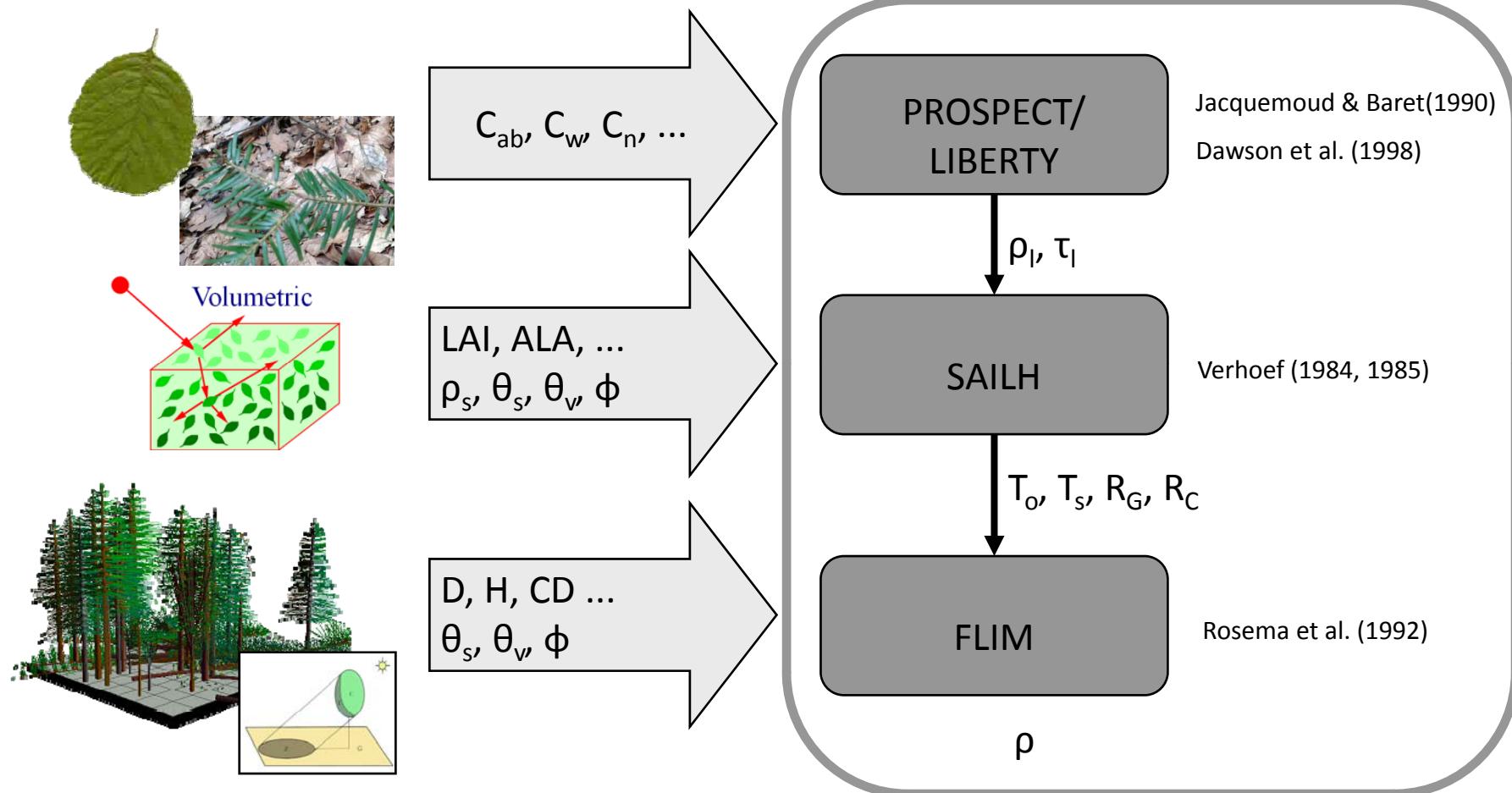
## Canopy Reflectance Modelling Concept



INFORM: Atzberger (2000); Schlerf et al. (2005)

PROSPECT: Jacquemoud & Baret (1990)  
SAIL: Verhoef (1984)  
FLIM: Rosema et al. (1992)

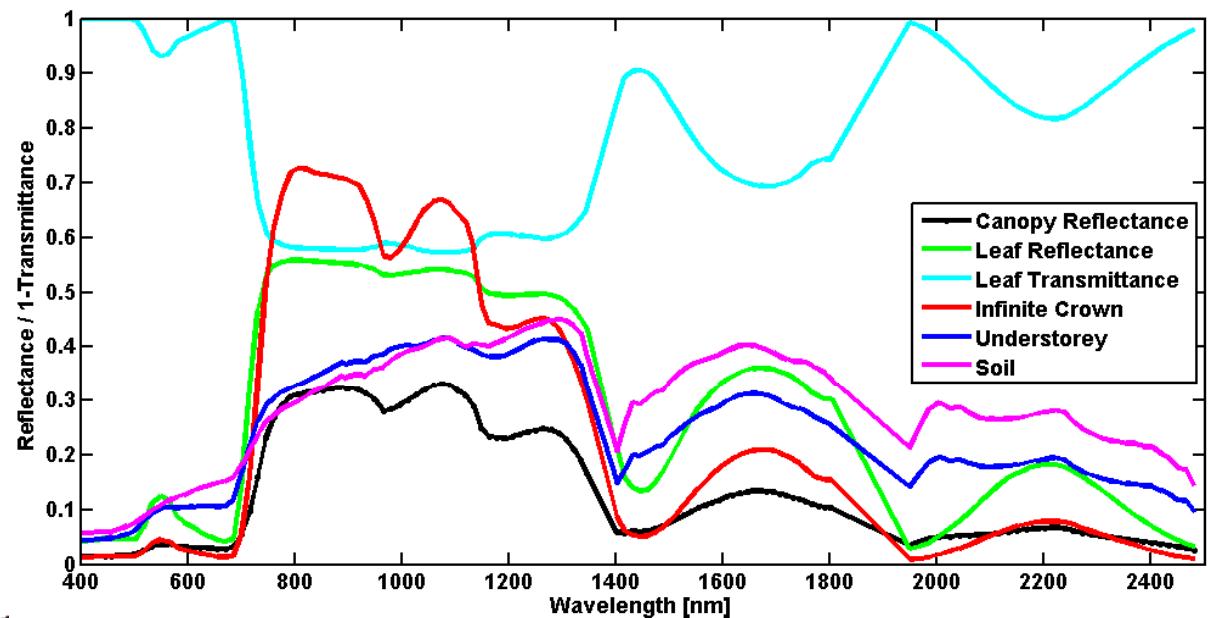
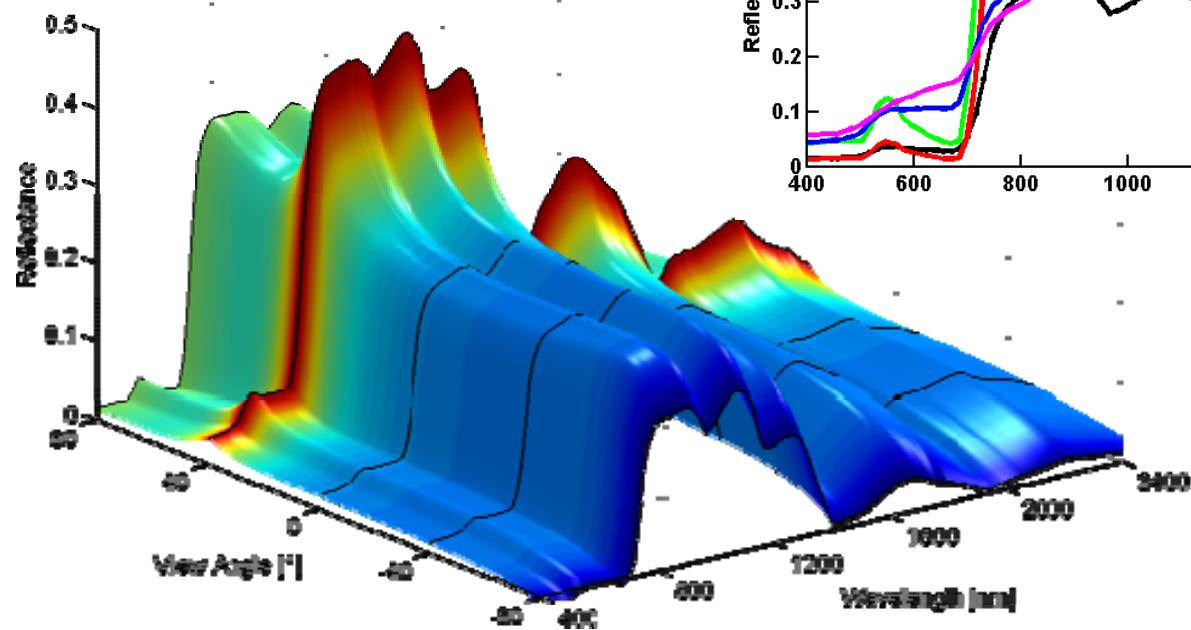
# Geometric Optics Radiative Transfer Modelling Concept



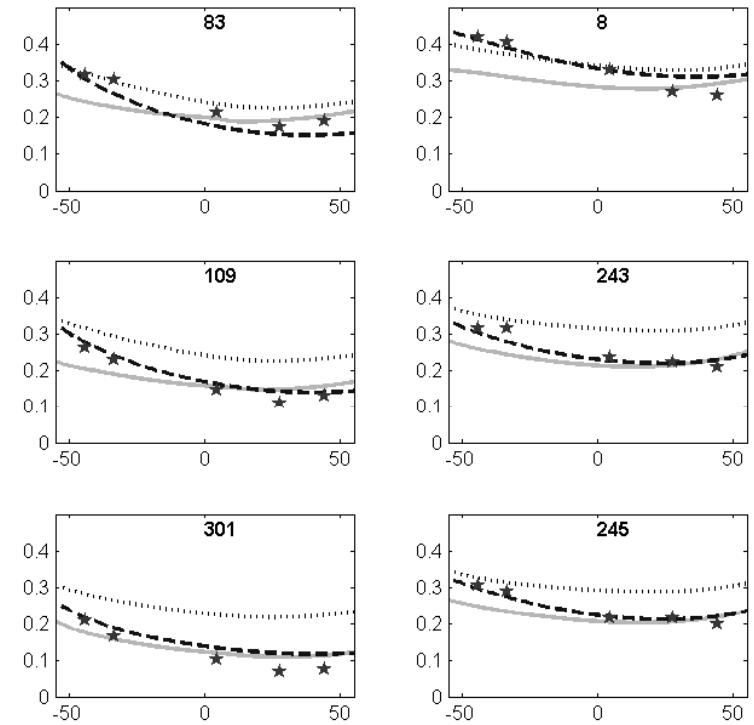
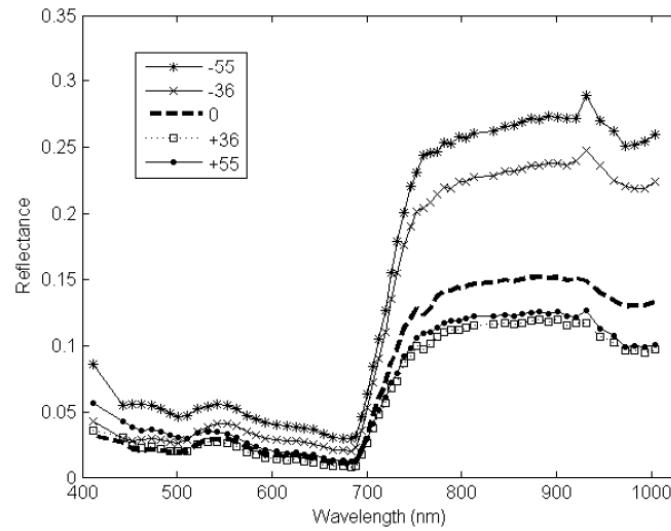
Invertible Forest Reflectance Model  
(Atzberger, 2000; Schlerf & Atzberger, 2005)

# Leaf and Canopy Reflectance models

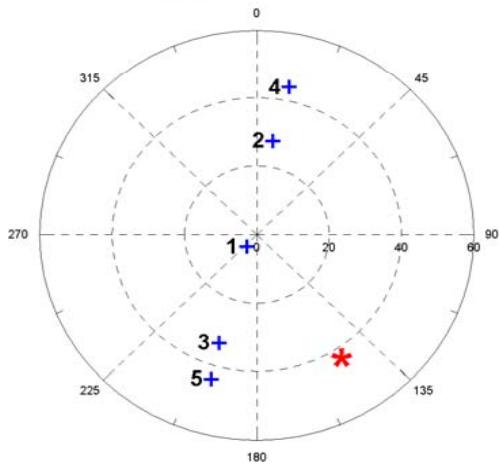
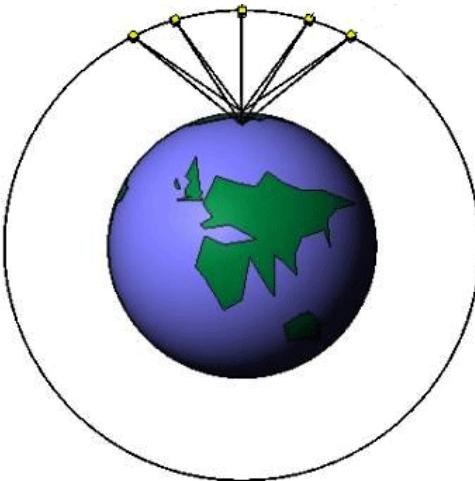
INFORM:  
PROSPECT & SAILH & FLIM



# Comparison of Canopy Reflectance models



Grey line = FRT, black dashed line = INFORM, black dotted line = SLC, black stars = Chris/PROBA



Comparing Three Canopy Reflectance Models with Hyperspectral Multi-angular Satellite Data  
M. Schlerf, W. Verhoef, H. Buddenbaum, J. Hill, C. Atzberger & A. Skidmore (2007)

# Forest Growth Models

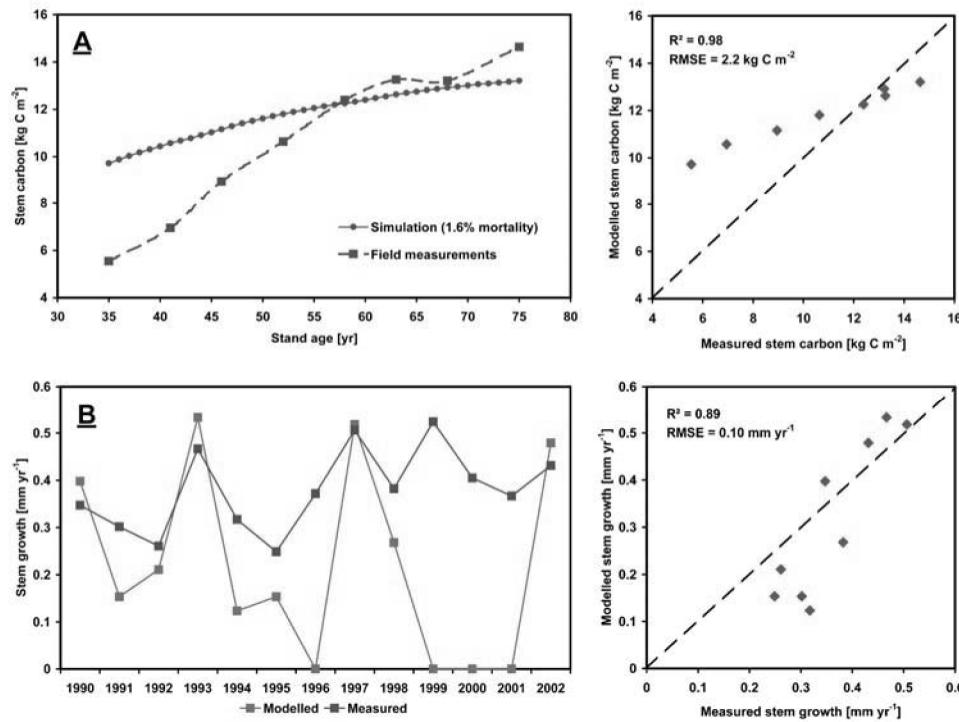
## ASSESSMENT OF FOREST PRODUCTIVITY USING AN ECOSYSTEM PROCESS MODEL, REMOTELY SENSED LAI MAPS AND FIELD DATA

M. Schlerf<sup>a</sup>, H. Buddenbaum<sup>a</sup>, M. Vohland<sup>a</sup>, W. Werner<sup>b</sup>, P.H. Dong<sup>c</sup>, J. Hill<sup>a</sup>

a Remote Sensing Department, University of Trier, Beiringstrasse, D-54286 Trier, Germany

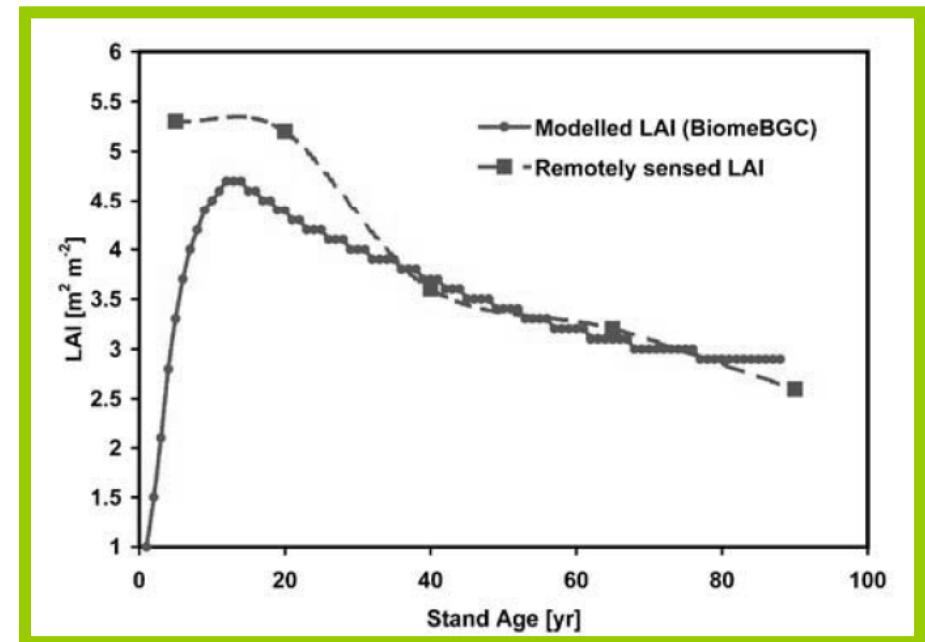
b Department of Geobotany, University of Trier

c Forest Research Institute Rheinland-Pfalz, D-67705 Trippstadt



**Figure 2:** A) Left: Age course of modelled and measured stem carbon, right: scatter plot. B) Left: Age courses of modelled and measured radial stem increment (stem growth), right: scatter plot showing nine of thirteen measurements (four years were left out where modeled values of stem growth were zero).

BiomeBGC



Norway Spruce  
(*picea abies*)



Thank you  
for your Attention

## References:

- **C. Atzberger** (2000a): Development of an invertible forest reflectance model: The INFOR-Model. *Proc. 20th EARSeL Symposium Dresden*, Germany, 14-16 June 2000, 39-44.
- **H. Buddenbaum, M. Schlerf & J. Hill** (2005): Classification of coniferous tree species and age classes using hyperspectral data and geostatistical methods. *Int. J. Rem. Sens.*, 26: 5453-5465.
- **H. Buddenbaum & S. Seeling** (2008): Characterization of forest stands using full waveform laser scanner and airborne hyperspectral data. In: *Proc. Silvilaser 2008*. Edinburgh, UK.
- **T.P. Dawson, P.J. Curran & S.E. Plummer** (1998): LIBERTY—Modeling the Effects of Leaf Biochemical Concentration on Reflectance Spectra. *Remote Sens. Environ.* 65: 50–60.
- **Gitelson, A.A., Y. Gritz, & M.N. Merzlyak** (2003): Relationships between leaf chlorophyll content and spectral reflectance and algorithms for non-destructive chlorophyll assessment in higher plant leaves. *J. of Plant Phys.*, 160, 271-282.
- **S. Jacquemoud & F. Baret** (1990): PROSPECT: a model of leaf optical properties spectra. *Remote Sens. Environ.* 34, 75–91.
- **A. Rosema, W. Verhoef, N. Noorbergen & J.J. Borgesius** (1992): A new Forest Light Interaction Model in Support of Forest Monitoring. *Remote Sens. Environ.* 42: 23–41.
- **Schlerf, M., Atzberger, C.** (2006): Inversion of a forest reflectance model to estimate structural vegetation attributes using hyperspectral remote sensing data. *Remote Sens. Environ.*, 100: 281-294.
- **Schlerf, M., Atzberger, C., Hill, J.** (2005): Remote sensing of forest biophysical variables using HyMap imaging spectrometer data. *Remote Sens. Environ.*, 95: 177-194
- **M. Schlerf, W. Verhoef, H. Buddenbaum, J. Hill, C. Atzberger & A. Skidmore** (2007): Comparing three canopy reflectance models with hyperspectral multi-angular satellite data. ISPMRS'07, Davos, CH.
- **M. Schlerf, C. Atzberger, J. Hill, H. Buddenbaum, W. Werner & G. Schüler** (2009): Retrieval of chlorophyll and nitrogen in Norway spruce (*Picea abies L. Karst.*) using imaging spectroscopy. *Int. J. Appl. Earth Obs. and Geoinf.* (in Print)
- **J. Stoffels** (2009): Einsatz einer lokal adaptiven Klassifikationsstrategie zur satellitengestützten Waldinventur in einem heterogenen Mittelgebirgsraum. PhD Thesis, University of Trier.
- **W. Verhouf** (1984): Light Scattering by Leaf Layers with Application to Canopy Reflectance Modeling: The SAIL Model. *Remote Sens. Environ.* 16: 125–141.